



ရွေးကောက်ပွဲဆိုင်ရာ
ပြည်သူ့လှုပ်ရှားမှုအဖွဲ့
PEOPLE'S ALLIANCE FOR CREDIBLE ELECTIONS

2017 By-Elections Observation Report



90, Room 7A, Kan Road Condo, Kan Road, Hlaing Township, Yangon, Myanmar.
www.pacemyanmar.org , <http://www.facebook.com/PACEMyanmar>

mying many myan 2017

2017 By-Elections Observation Report

PAGE



People's Alliance for Credible Elections

2017 By-Elections Observation Report

2017 By-Elections Observation Report

1 September 2017

Table of Contents

About PACE	5
Acknowledgements	6
I. Executive Summary	7
II. Introduction	9
III. Recommendations	11
3.1 To Parliament	
3.2 To the Union Election Commission (UEC)	
IV. Legal and Administrative Framework	15
4.1 Election Management Body:	
4.2 Election District:	
4.3 Voter List and Voter Registration Process:	
4.4 Voter Education:	
4.5 Campaign Resources:	
V. Campaign Environment Observation	19
5.1 Campaign Activities:	
5.2 Campaign Rallies	
5.3 Campaign Messaging	
5.4 Interference in Campaigns	
5.5 Voter's Perception	

VI. Voter List Registration Survey	23
6.1 Awareness of the By-Election	
6.2 Door-to-Door Voter List Update	
6.3 Awareness, Information Sources and Citizen Intentions Regarding the Voter List Display	
6.4 Perception of the Voter List	
6.5 Perception and Vote Intention for the By-Elections	
<hr/>	
VII. Voter List Update Process Observation	31
7.1 Voter Education	
7.2 Display Center Management and Materials	
7.3 Presence of Political Parties and CSOs	
7.4 Turnout and Submission of Changes	
7.5 Intimidation and Interference	
<hr/>	
VIII. In-Constituency Advance Voting Observation	35
<hr/>	
IX. Election Day Sample Based Observation	37
9.1 Polling station setup	
9.2 Voting Process	
9.3 Closing and Counting	
<hr/>	
X. Tabulation Process Observation	43

XI. Methodology **45**

11.1 Campaign Environment

11.2 Survey on the Voter List and Voter Registration

11.3 Voter List Update Process

11.4 In-Constituency Advance Voting

11.5 Election Day Sample Based Observation

11.6 Tabulation

Appendix 1.	Code of Conduct	51
--------------------	------------------------	-----------

Appendix 2.	Check List, Incident Form (Campaign Environment Observation)	53
--------------------	---	-----------

Appendix 3.	Check List, Spot-Checker Form (Voter Registration Survey)	85
--------------------	--	-----------

Appendix 4.	Check List, Incident Form (Voter List Update Process Observation)	105
--------------------	--	------------

Appendix 5.	Check List, Incident Form (In-constituency Advance Voting Observation)	113
--------------------	---	------------

Appendix 6.	Check List, Incident Form (Election Day Observation)	119
--------------------	---	------------

Appendix 7.	Check List, Incident Form (Tabulation Process Observation)	125
--------------------	---	------------

About PACE

The People's Alliance for Credible Elections (PACE) is an independent, non-partisan, non-government domestic election observer group founded in 2013 to strengthen democratic institutions in Myanmar through safeguarding citizen rights and promoting public participation in the electoral process. To promote transparency, accountability and inclusiveness in the electoral process, PACE works on civic and voter education, election observation and electoral reform.

Upholding the principles enshrined in "Universal Declaration of Human Rights", PACE's work will be implemented regardless of race, religion and gender. Moreover, PACE has signed "Declaration of Global Principles for Nonpartisan Observation and Monitoring by Citizen Organizations," which is a document endorsed by more than 260 organization from 75 countries, and is a member of the Global Network of Domestic Election Monitors (GNDEM).

For further information, please visit <https://www.pacemyanmar.org/>

Acknowledgements

PACE would like to express its deepest gratitude towards all the short-term and long-term observers, who did a tremendous job throughout the whole by-election process, including election day. Their commitment, accuracy and timely reports made it possible for PACE to be the first domestic group to release, within 48 hours, findings on the conduct of the election. Without the incredible effort of the 17 state and region coordinators, these operations would have not been possible. PACE also would like to extend its appreciation to the data center volunteers for their precision and carefulness, which allowed PACE to be able to release the preliminary findings with confidence. PACE also would like to acknowledge the Union Election Commission (UEC) for its generous collaboration throughout the process.

Importantly, PACE would like to thank Department for International Development (DFID) for its financial support which allowed PACE to be able to engage in the country's important political process. PACE also would like to thank the National Democratic Institute for International Affairs (NDI) for its incredible support, both technical and financial, which allowed PACE to assess the quality of the whole electoral process accurately and come up with comprehensive recommendations.

I . Executive Summary

The 2017 by-elections, though limited in scope and political impact, were the first test of the NLD government's commitment to its democratic transition. All actors, from the Union Election Commission to citizens, from political parties to civil society, took their responsibilities seriously.

Between January 26 and April 2, the People's Alliance for Credible Elections (PACE) deployed more than 800 observers, data center operators and coordinators to monitor and assess several key aspects of the by-election process. PACE's observers: conducted a survey of citizen perceptions of the voter list and voter registration process; monitored the public display and update of the voter list; assessed the campaign environment; observed the in-constituency advance voting process; conducted a Sample Based Observation of the election day process, from polling station setup to vote counting; and monitored the results tabulation process at the township level. PACE observers were present in all 22 townships participating in the by-election. Their professionalism was a testament to their commitment to Myanmar's democracy and a key to the success of PACE's observation.

Throughout the process, PACE witnessed the Union Election Commission's efforts to make several components of the election process more transparent by revising administrative procedures. Most notably, the UEC's work resulted in the release of the election calendar early in the process and more access for observers to the in-constituency advance voting process. While the efforts of UEC to promote the integrity of the electoral process in this by-election are widely acknowledged, there are key issues related to the legal framework that are yet to be improved before the 2020 elections, including the qualifications, responsibilities and nomination process for UEC members, the inclusiveness and transparency of the voter list, and the size of Pyithu Hluttaw constituencies.

2017 By-Elections Observation Report

Some of the recommendations shared by domestic and international observers following the 2015 national elections still remain relevant today. Some of these recommendations can be implemented directly by the UEC. Others require parliamentary changes to the current election framework. Importantly, the reform process should be open to key stakeholders such as political parties across the political spectrum and civil society organizations so that agreed legal reforms will be widely accepted among contestants and citizens. Along with other domestic observation groups, PACE stands committed to work with members of Parliament and the UEC to identify amendments that would make Myanmar's elections more inclusive, transparent and accountable.

II . Introduction

The Hluttaw Election laws amended and passed in June 2016 require that if Parliament notifies the Union Election Commission (UEC) of a vacant seat during the Parliament's first year, that seat should be filled within one year. In response to a notification by the Hluttaws, the UEC scheduled by-elections for 19 of the 31 vacant seats for April 1, 2017.

Even though the number of vacant seats was too small to change the balance of power in the Hluttaws, these by-elections were an important political process for the ruling party. For small parties and non-Burman ethnic parties, they provided an opportunity to engage citizens. Moreover, these by-elections allowed people in Shan state who did not have the opportunity to vote in 2015 to participate in an important part of the country's democratic process.

These elections, conducted almost exactly one year after the current NLD-led government assumed power in March 2016, were the first organized by the election commission appointed by President U Htin Kyaw. During the by-elections, PACE and other observers saw several improvements made since the 2015 elections. The most obvious and important changes were the release of a specific election timeline and detailed polling station lists to the public, and allowing observers to monitor the advance voting process. Even though some laws and administrative procedures were improved, there are still areas of the legal framework that need to be discussed and amended before the 2020 elections.

During the 2015 elections, the highest concerns raised by citizens were related to the UEC's capacity to manage the election, its lack of independence and potential partisanship. Even though the UEC started to open the nomination of sub-commission members to the public, the UEC members were appointed by the President and have same term as the President. To ensure that the UEC is perceived by citizens, political parties and candidates as neutral and independent, the legal framework governing their appointment needs to be reviewed and amended. Moreover, the qualifications required to be appointed to the commission members and the UEC's duties and responsibilities need to be reviewed and amended to be more inclusive and accountable.

2017 By-Elections Observation Report

One of the most fundamental aspects of the electoral process is the voter list. Given the complexity of the voter registration process and the lack of reliable information about the civil registry, it is difficult to determine how accurate is the information on the list, and whether eligible citizens have been left out of the list. In Myanmar, there have not been any systematic studies on the demographics of the voters or the quality of the centralized voter list compiled by the previous commission. On election day, PACE observers found that at some polling stations there were few people who were not able to cast their votes as their names were not on the list. In addition, according to the unofficial statistics, the overall turnout was very low (approximately 36.9%) in these by-elections.

However, in these by-elections, the UEC made some progress in making some election-related information available to the public and election observers. Notably, the UEC shared a machine-readable polling station list with domestic observers, including PACE, which made it possible to conduct a systematic, sample-based observation of the election-day process. However, the information released publicly was not in a machine-readable format, and civil society access to the voter list still remains a question mark. Notably, valuable information like polling station-level election results have not been published at all.

If elections are fundamental to democratic governance and a crucial component for Myanmar's democratic transition, the process should be inclusive, transparent and accountable. Questions such as whether citizens are well informed and have free access to information related to the process, whether the laws are consistent and fair, and whether citizens are able to cast their votes and run for the office freely will determine the quality of the process itself and the future of the country's political transition.

On January 26, PACE began assessing key aspects of the pre-election period in all the by-election constituencies. PACE measured voters' opinions on the voter list and voter registration process, monitored the voter list display, and observed the campaign environment and the in-constituency advance voting process. On April 1, PACE conducted a systematic, sample-based observation of the election-day process, and monitored the results tabulation process in all township election commissions.

III . Recommendations

Through its observation PACE was able to assess the quality and credibility of key aspects of the 2017 by-elections. Overall, PACE found the 2017 process to be credible, and that there was increased transparency in some components of the election process, notably the election calendar and the advance voting process. PACE also appreciates the UEC's openness in sharing the list of polling stations, which was key for PACE to conduct a sample-based observation.

However, some of the recommendations shared by domestic and international observers following the 2015 national elections still remain relevant today. Some of these recommendations can be implemented directly by the UEC. Others require parliamentary changes to the current election framework. Along with other domestic observation groups, PACE stands committed to work with members of Parliament and the UEC to identify amendments that would make Myanmar's elections more inclusive, transparent and accountable.

3.1 To Parliament

Following the 2015 general elections, both domestic and international observer groups submitted recommendations concerning legal framework reform to multiple stakeholders. Other than a few changes in administrative procedures that were adopted during the 2017 by-elections, substantial reforms to the legal framework have yet to be made. In order to create a more inclusive, transparent and accountable electoral process, Parliament should:

- assign a specific committee/commission to initiate work on electoral reform and create a mechanism to communicate with different stakeholders, including civil society organizations;
- develop a cross-party alliance within the Parliament to advance substantial reforms to the electoral process;

2017 By-Elections Observation Report

- review and amend the qualifications, duties and responsibilities and the commissioner nomination process defined in the Union Election Commission Law;
- review and amend the requirements for party memberships in the party registration laws;
- review and amend the qualification requirements of the candidates, eligibility of the voters, and electoral system for Hluttaw elections; and
- initiate a law recognizing the rights of Myanmar citizens to observe elections.

3.2 To the Union Election Commission (UEC)

During the 2017 by-elections, the UEC amended several administrative procedures based on recommendations made by observers following the 2015 general elections. However, PACE recommends that the UEC take these additional actions to further improve future electoral processes:

- The Union Election Commission (UEC) should prioritize the recommendations submitted by domestic and international observer groups following the 2015 elections, develop a specific reform workplan, and make all the necessary amendments to laws and procedures by 2019.
- While it is important to acknowledge the UEC's efforts to open the nomination of sub-commission members to the public, the duty and responsibilities, qualifications and nomination process of union level members should be reviewed and amended.
- Review the size of the Pyithu Hluttaw constituencies, and redraw the boundaries to ensure that the number of voters in each constituency is proportional to its population.
- Review and amend the regulations on campaign expenditures to allow for different maximums based on the size of each constituency, and to set reasonable limits on contributions for campaign activities from both individuals and organizations.
- The UEC should review the voter list registration process and closely collaborate with other related government agencies such as General Administration Department (Ministry of Home Affairs) and Department of Immigration (Ministry of Labor, Immigration and Population) to ensure that the information on the list is both complete and correct.

- The UEC should collaborate with mobile providers to create a simple IT platform such as SMS to allow citizens to easily check their names with their regular mobile phones.
- The UEC should make the existing list available to civil society organizations to conduct an independent verification, which would help the UEC gain a better understanding of the quality of the list, and identify any problems that should be addressed to ensure all eligible citizens are included and their information is correct. The results of an independent verification would allow the UEC to allocate its resources strategically prior to the next elections.
- While several measures have been taken to make the advance voting more transparent, the out of constituency and out of country advance vote process should be reviewed and simplified.
- The UEC should make available all election related information in a machine-readable format, and the information should be received in a timely manner and without any cost. Unless the information contains sensitive data, it should be published freely on the UEC's website.
- The UEC should design and intensify its voter education materials and election related information to reach out citizens throughout the country, especially to first time voters, women, and ethnic minorities.
- To be more inclusive, transparent and accountable, and to help reach broader agreement on the reform, the process should include all political parties and allow citizens to participate.

IV. Legal and Administrative Framework

Elections belong to the people. The principles for democratic elections laid out in Article 21 of the Universal Declaration of Human Rights and Article 25 of the International Covenant on Civil and Political Rights clearly describe that the will of the people should be the basis of the authority of the government, and that this will should be expressed in periodic and genuine elections with no discrimination based on race, color, sex, language, religion, political or other opinion, national or social origin, property, birth or other status.

Articles 4 and 38(a), which define the basic principles of the Constitution of the Republic of the Union of Myanmar, describe that the sovereign power of the Union is derived from the citizens, and that every citizen has the right to elect or to be elected. However, to be able assess if Myanmar's election legal framework meets those democratic principles, it is necessary to examine both the Constitution and the five election laws that govern all Hluttaw elections. The three Hluttaw laws mandate that all MPs - in the Amyotha, Pyithu and state/region parliaments - shall be elected for five-year terms using a first-past-the-post voting system with single member districts. In addition, the Hluttaw laws grant the Tatmadaw (Myanmar Military) the power to appoint 25 percent of seats in each chamber of the Union parliament — 110 in the Pyithu Hluttaw, 56 in the Amyotha Hluttaw — and one-third of seats in state and region Hluttaws.

4.1 Election Management Body

All Hluttaw elections are organized by the Union Election Commission (UEC) which is, under the Constitution, the only institution with the power to oversee political parties, to organize Hluttaw elections and to resolve electoral disputes. The UEC has the final decision on election-related matters. At the Union level, all members, including the Chair of the commission, are nominated by the President with the same five years term, and it is almost impossible for the Pyidaungsu Hluttaw to reject the nomination. Given the legal framework governing the appointment of the Union-level commissioners, there is no doubt that the transparency and inclusiveness of the commission remains in question. Moreover, the duties and responsibilities granted by the Constitution allows the commission to act unilaterally with no checks and balances.

4.2 Election District

Equal suffrage requires that every vote has the same weight irrespective of distinctions such as race, color, gender, religion, language, political opinion, property, birth or others. Under the Constitution, the election districts of the Amyotha Hluttaw are based on states and regions, regardless of size and population, and for the state/region Hluttaws, townships are divided into two districts equally. For Pyithu Hluttaw elections, the Constitution allows the UEC to draw the boundaries based either on population size or simply on the current township administrative boundary. Currently, the Pyithu Hluttaw's election constituencies are based on the township administrative boundary, resulting in significant differences in the value of the vote among constituencies. In the 2017 by-elections, the size of Pyithu Hluttaw constituencies ranged from less than 45,000 eligible citizens to almost 380,000, making some votes weigh more than eight times more than others.

4.3 Voter List and Voter Registration Process

A complete and correct voter list is fundamental to ensure that all citizens are able to exercise their political rights during elections. An inclusive voter list and a transparent registration process promote public confidence in the electoral process. The current list is the result of the UEC's efforts prior to the 2015 elections to compile up-to-date information from the General Administration Department (GAD) and Department of Immigration (DoI), as well as a door to door update process that was supposed to reach all households in the by-election areas prior to the 2017 elections. The resulting list was then displayed to the public to identify and request any needed changes. However, the door-to-door update reached a far smaller percentage of households than expected, and the engagement of citizens in the public display process was lower than desired. Given this experience, and the

extraordinary cost of updating the list with GAD and Department of Immigration's information, the quality of the list and the lack of transparency of the voter list is a source of concern for the next general elections in 2020.

4.4 Voter Education

Without proper voter education, elections cannot be considered inclusive and transparent. Voters have the right to access all information related to the candidates and the electoral process. Even though the UEC has the responsibility of announcing the timeline of all election activities, and both the UEC and civic groups conducted voter education both in 2015 and 2017, there is no legal provision for the UEC to conduct voter education. Moreover, while it is worth to acknowledge the UEC's efforts to use some minority ethnic languages in voter education materials ahead of the 2017 by-elections, there are still no specific guidelines to promote minority ethnic languages in voter education nationwide.

4.5 Campaign Resources

Currently, the Hluttaw election laws do not establish limits on individuals' contributions to political campaigns. This could result in a campaign being funded primarily, or even only, by a single donor, creating a conflict of interest and threatening the independence of elected representatives. The laws also establish a single cap for campaign expenditures for candidates for elections at all level - Amyotha, Pyithu and state/regional Hluttaws — regardless of the size of their constituencies. This puts candidates contesting in election districts with bigger population at a disadvantage.

While it is important that electoral reform results in a legal framework that meets the principles of democratic elections, it also is important that the reform process itself should be inclusive, transparent and accountable. The amendments to the laws should not be agreed only by members of the majority party in Parliament, but should take into consideration the views of all political parties and the voters.

V . Campaign Environment Observation

The political campaigns are the most important aspect of the pre-election period. Whether candidates were treated equally under the laws, had equal access to public spaces, were allowed to express their message freely, and were allowed to reach out to their constituents impact the fairness and credibility of the elections.

From February 1 to March 30, PACE deployed long-term-observers (LTOs) to all 22 townships to assess the transparency, accountability and inclusiveness of the campaign environment. Even though there were isolated incidents reported in the media, in general the campaign environment was calm and orderly. Candidates were able to conduct their activities without any major incidents of intimidation or violence. All campaign requests were approved by the election authorities without any change to time or location. There were no reports of interference during the campaign, or of incitements or comments regarding candidates' gender, religion or race. However, compared to the 2015 elections, candidates' campaigns were much less active during this by-election process.

The following detailed findings represent the viewpoints of citizens and individual candidates as expressed in 1,464 interviews conducted by LTOs and direct observation by PACE LTOs at 338 rallies. This information does not include activities or viewpoints of party headquarters, other party supporters or other groups. It also does not include information about activities conducted by parties or candidates before the official campaign period began. The findings are also based on 173 interviews the LTOs conducted with members of the local election sub-commissions.

5.1 Campaign Activities

- The most common outreach activities reported by the candidates PACE interviewed were distributing materials (48%), holding rallies (38%) and hanging posters (34%). The next most common forms of outreach were door-to-door outreach (26%) and parades/loudspeakers (20%). Very few candidates said they used technology or media to reach voters; only 3.5 % said they used media (interviews) to reach voters. and 6% using social media/Facebook. Almost one quarter of candidates (23%) said they did not have any activities planned. This data does not capture outreach activities conducted by parties' central committees or by other party supporters.
- Among those interviewed, candidates from the USDP and NLD were the most active conducting campaign activities. Parades and loudspeakers were most used by NLD (46%), followed by other big parties (30%). A significant number of candidates from small parties (26%) and other big parties (19%) responded that they did not plan to conduct any activities at all.

5.2 Campaign Rallies

- Of those interviewed, candidates did not report many problems in the rally approval process. All candidates from four party categories said their rallies were approved without changes. Two out of five candidates (40%) said their campaign requests were approved one day before or one on the same day the campaign activities were planned.
- Of rallies observed by PACE, most were held at private offices/homes (48%), party offices (21%), religious places (10%), and public spaces, like markets or parks (8%). Very few campaign events were held in sports stadiums/fields (2%) or government buildings (3%). None of the observed rallies was held at industrial places.
- Candidates from all party categories were more likely to use private offices or houses to conduct campaign activities compared to other places. On the other hand, big parties other than the NLD and USDP, and small parties and independent candidates were more likely to use public spaces (like parks, markets, etc.) than the USDP or the NLD.
- At rallies observed by PACE, big parties (15%) (other than the NLD and USDP), were more likely to hold rallies in religious places.

- At most rallies observed, candidates handed out printed materials (57%). Other goods, like food (14%), party souvenirs (22%) were also handed out. Nothing was provided at 21% of rallies observed. Small parties and independent candidates (73%) were more likely to hand out printed materials compared to candidates from the other categories.

5.3 Campaign Messaging

- Party leaders joined as speakers at 44% of the observed rallies, celebrities at 5% and community leaders at 3%. At more than half (51%) of the observed rallies there were no speakers besides the candidates.
- At observed rallies, candidates from USDP, NLD and other big parties had similar rates of party leaders join the rallies. Independent candidates and those representing small parties were more likely to campaign without another speaker.
- At all of the observed rallies, no speaker made any comment about a group or person based on their religion, race or gender.

5.4 Interference in Campaigns

In candidate interviews, PACE asked candidates if they faced any problems in the campaign (like interference in campaign activities, problems with job/business, physical threats/harm, property/campaign material damage, problems with friends/family or bribes, etc.)

- Nearly all (96%) candidates said they did not face problems.
- PACE did not witness interference or disruptions at any of the observed rallies, regardless of what kind of party was conducting it.

5.5 Voter's Perception

- Of the voters interviewed, 62% said voters around in their areas were aware of the by-elections, and 57% were interested in voting in the by-elections.
- A majority (86%) of the interviewed voters said they could vote for any candidate they wanted with any fear of repercussions.

VI . Voter List Registration Survey

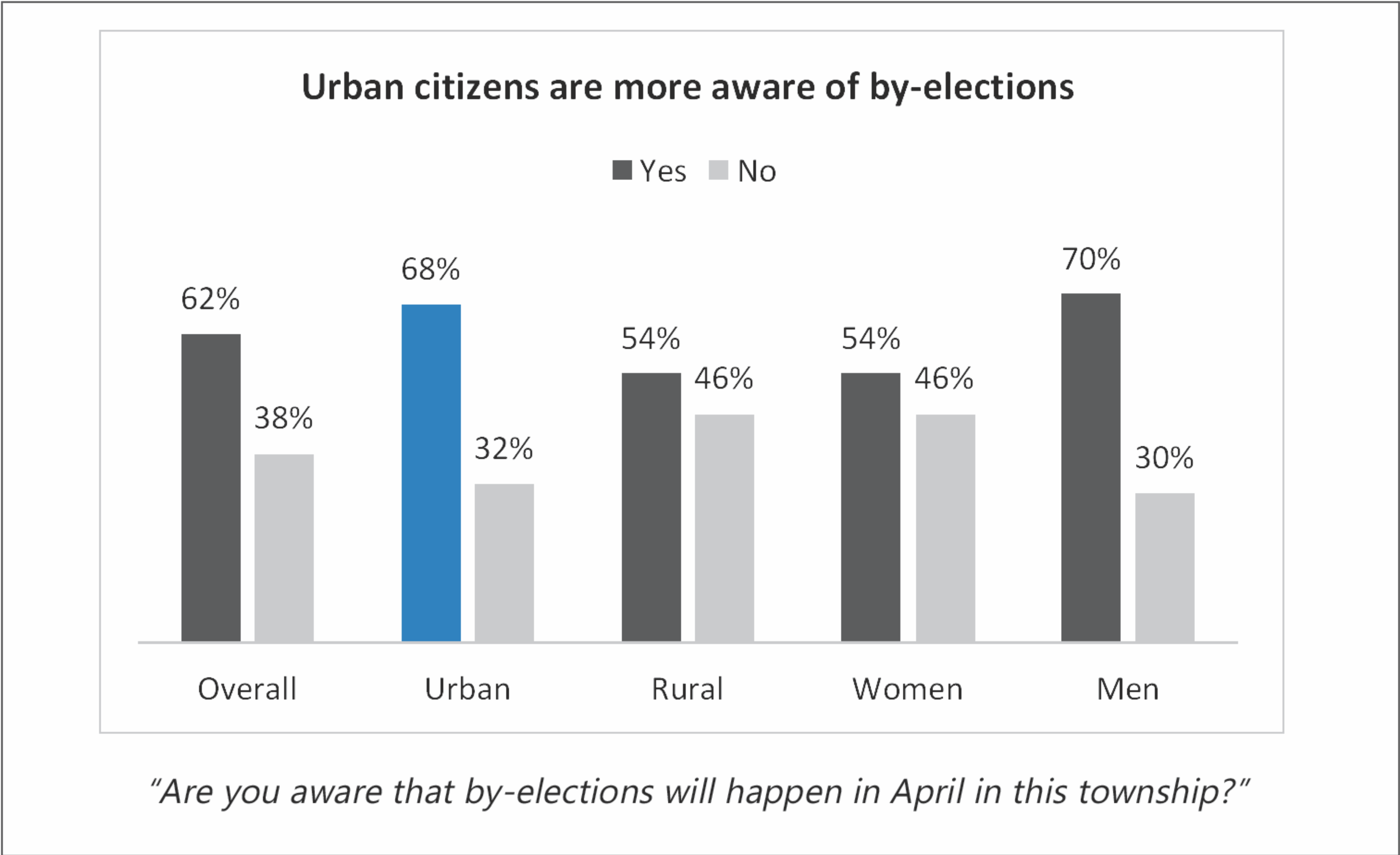
In November 2016, the UEC conducted a door-to-door campaign to update the voter list in the 22 townships where by-elections were scheduled. In January 2017, PACE conducted a survey to understand citizens' perception of the list, and their level of awareness and participation in the by-election and the door-to-door campaign. PACE enumerators conducted 800 face-to-face interviews in 80 wards and villages. In general, PACE found that the level of awareness and participation in the electoral processes were fairly low.

Below are some of the survey findings.

6.1 Awareness of the By-Election

A *potentially low* voter turnout was one of the major concerns of the by-elections. To determine potential risk factors that could inhibit turnout, PACE enumerators gauged citizens' level of awareness of the by-election.

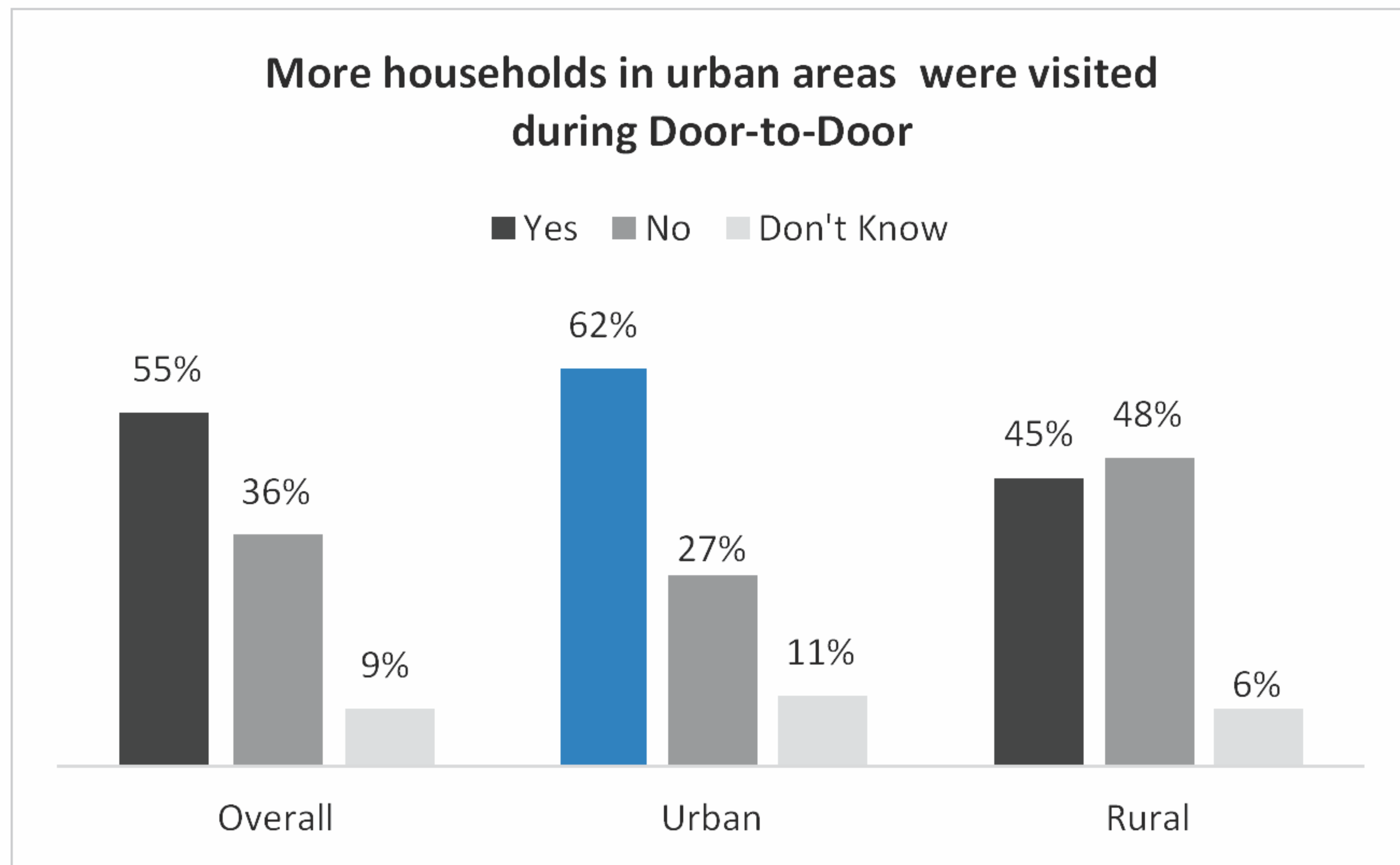
- When PACE enumerators asked if they were aware that by-elections would happen in April in their township, almost two thirds (62%) of the respondents said they were aware about the upcoming by-elections. More respondents from urban areas (68%) were aware than from rural areas (54%), and male respondents (70%) were more aware than women respondents (54%).



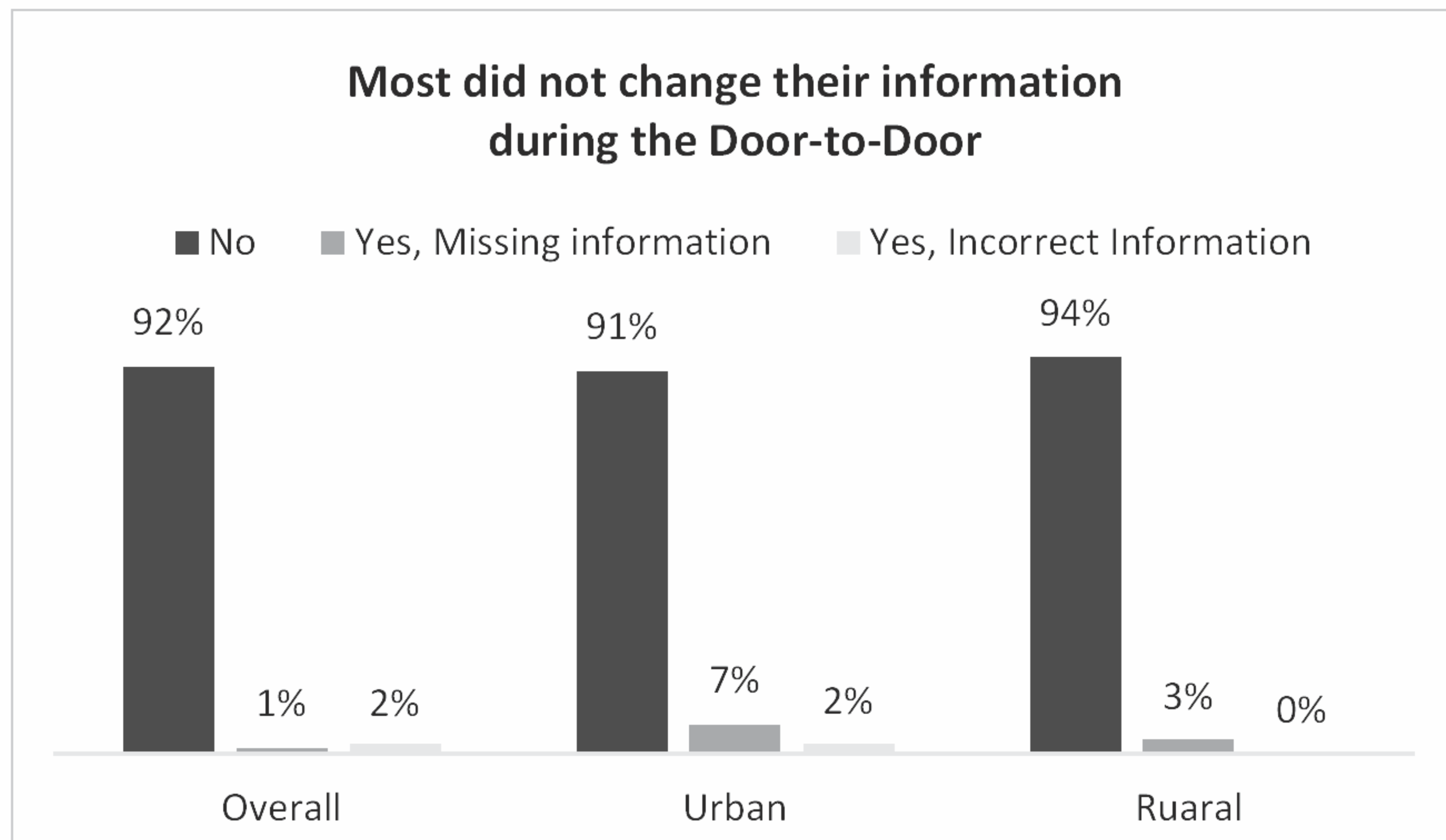
6.2 Door-to-Door Voter List Update

In November 2016, the UEC conducted a door-to-door voter list update in the 22 townships where by-elections were scheduled. PACE’s survey assessed citizens’ perception, engagement and opinion of the door-to-door process.

- PACE enumerators asked “In November last year, the UEC conducted a door-to-door update of the voter list. Did you hear about it?” Two thirds (65%) of the respondents said that they had heard of it. When it comes to urban and rural, respondents from urban areas (73%) were more aware than respondent from rural ones (55%), but there was no different between male respondents (66%) and female respondents (65%).
- When PACE enumerators asked, “During the door to door update, was your household visited?”, a majority of the respondents (55%) said that their household had been visited. Less than half of the respondents (45%) in rural areas said their households were visited, compared to almost two thirds (62%) in urban areas.
- When PACE enumerators asked, “If your household was visited, was any of your information on the voter list updated during the door-to-door visit?” nearly all (92%) of the respondents said they did not make any changes. There was no significant gap between urban and rural, or between women and men.



"During the door to door update, was your household visited?"

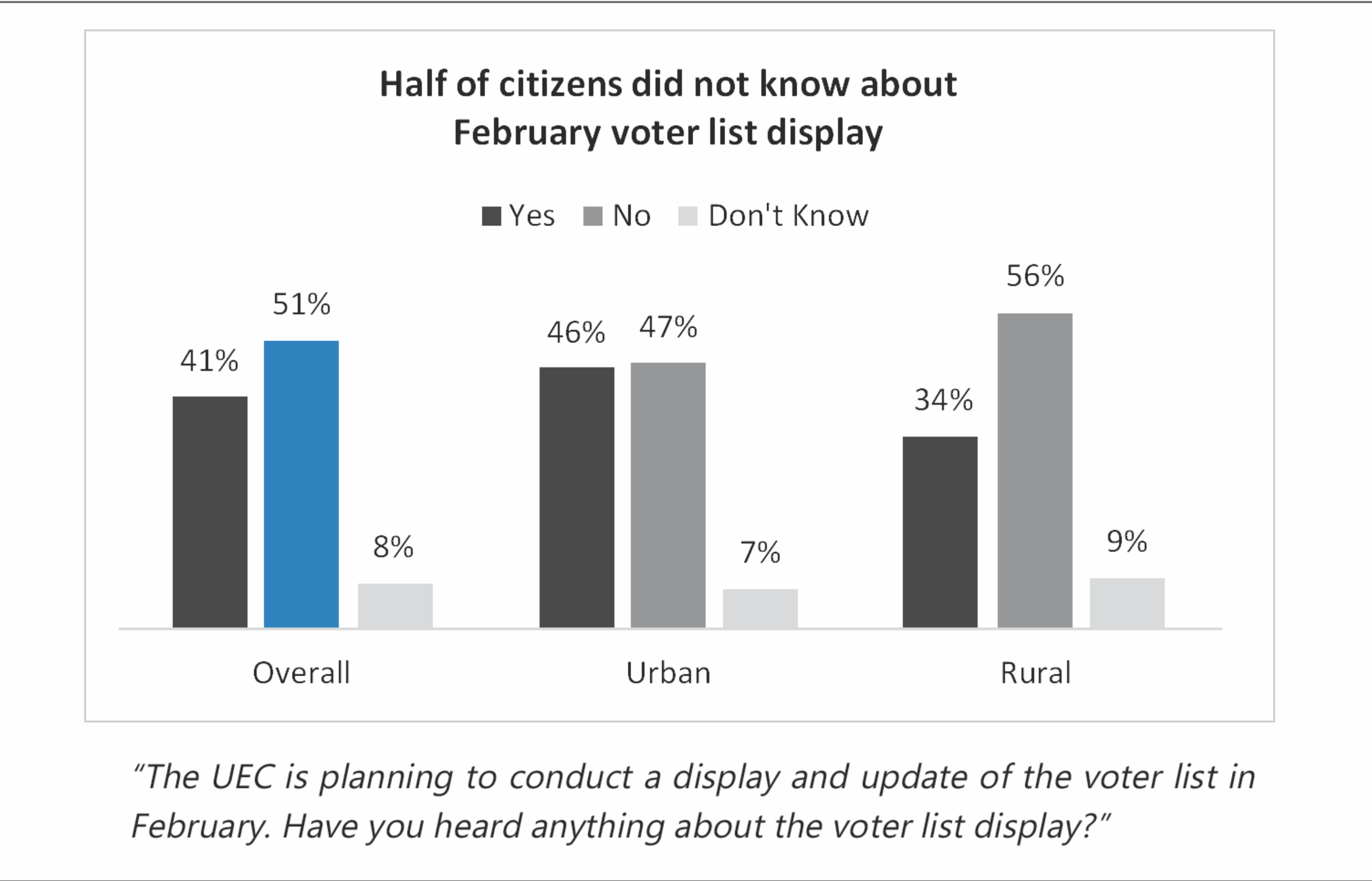


"If your household was visited, was any of your information on the voter list updated during the door-to-door visit?"

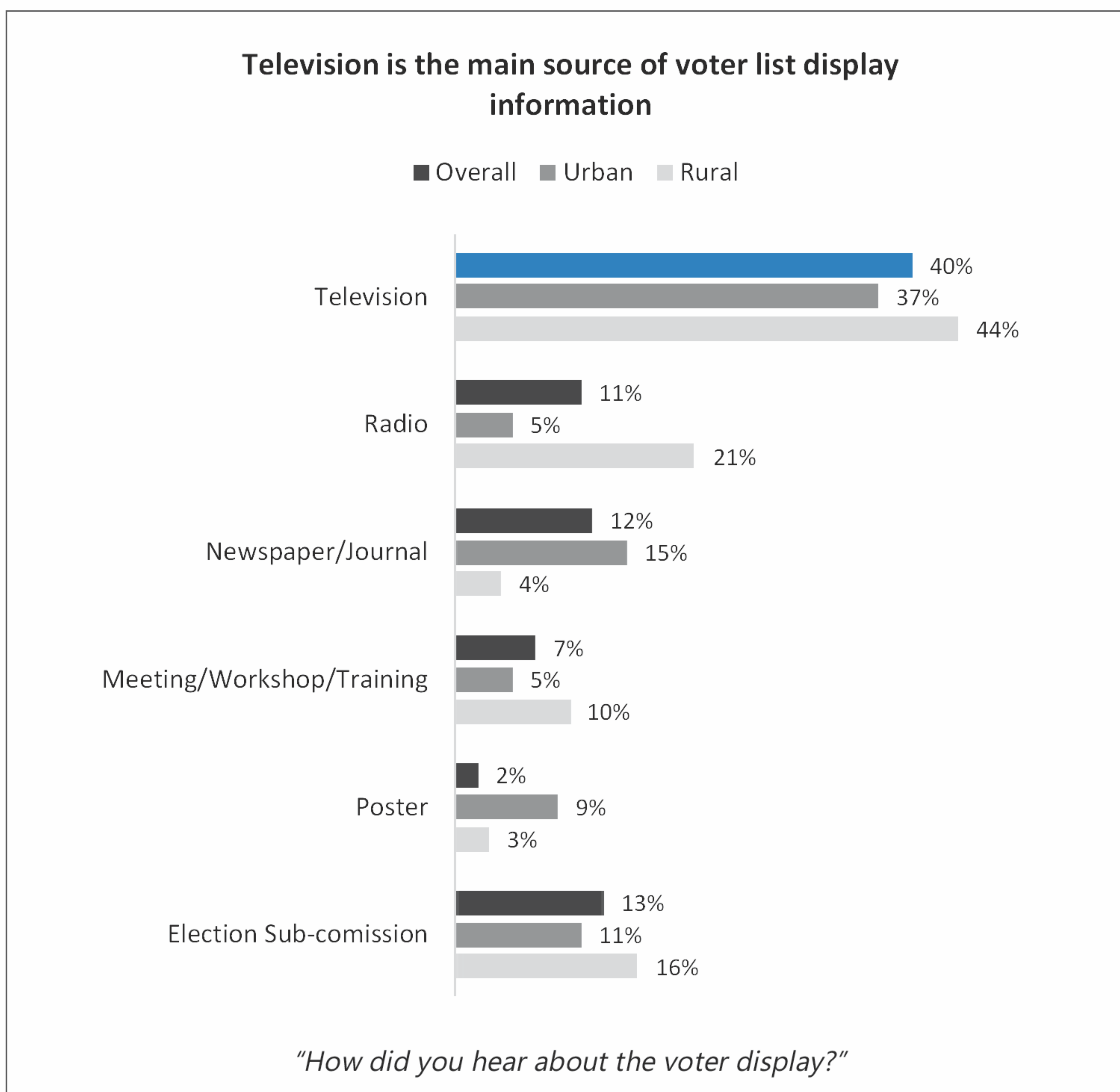
6.3 Awareness, Information Sources and Citizen Intentions Regarding the Voter List Display

During the voter list display for the 2015 elections, only a small number of people visited their local election sub-commissions to check their information, which raised additional concerns for the by-elections. PACE enumerators gauged citizens’ awareness of the voter display process for the by-elections in April.

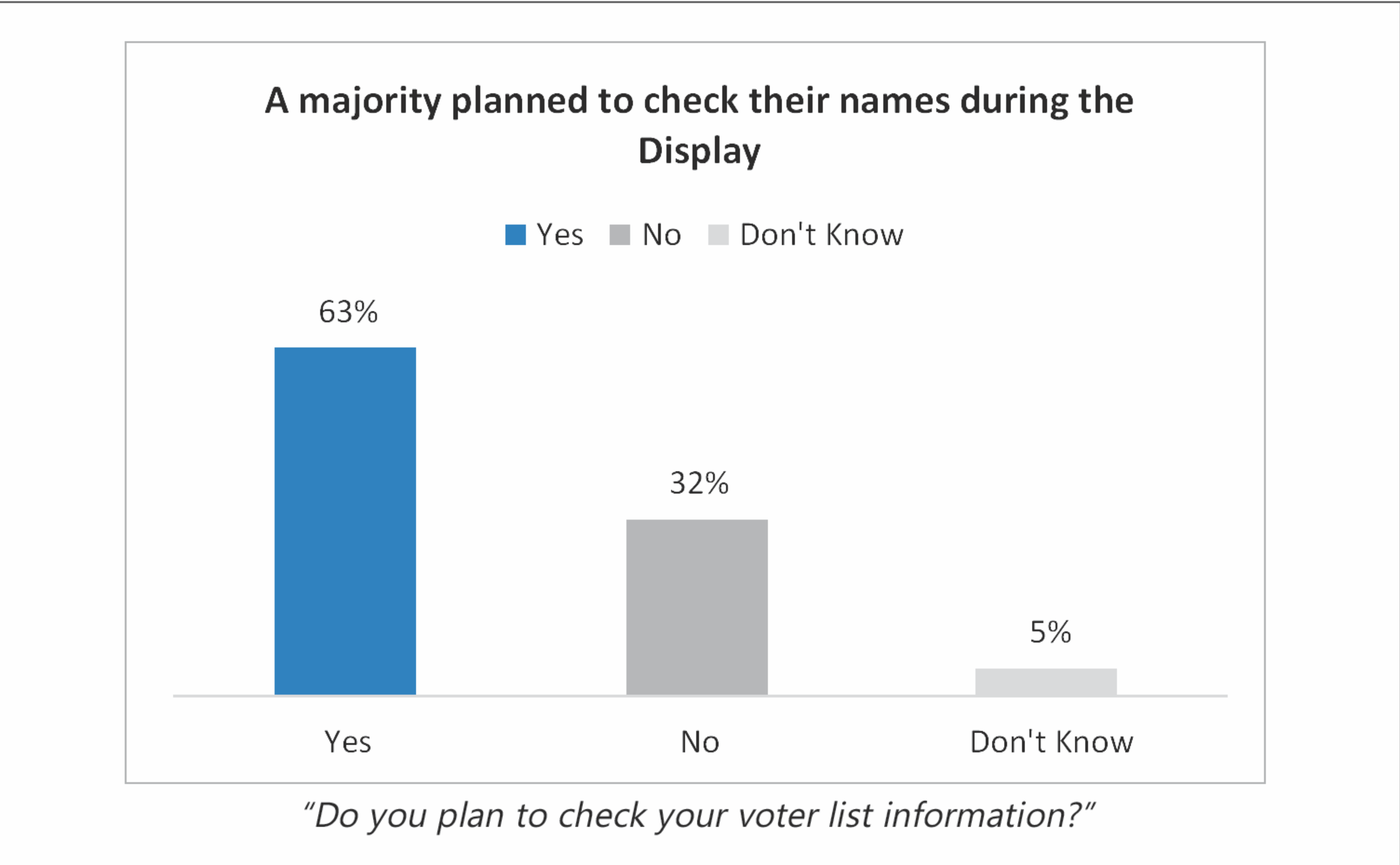
- When PACE enumerators asked “The UEC is planning to conduct a display and update of the voter list in February. Have you heard anything about the voter list display?”, only 41% were aware of the display, but roughly half (51%) were not aware.



- When PACE enumerators asked those citizens who were aware of the display “How did you hear about the voter display?”, 39% of the respondents said they received information from television. While respondents from rural areas received information more from television (44%) and radio (21%), respondents from urban areas received information from television (39%) and newspapers/journals (15%).



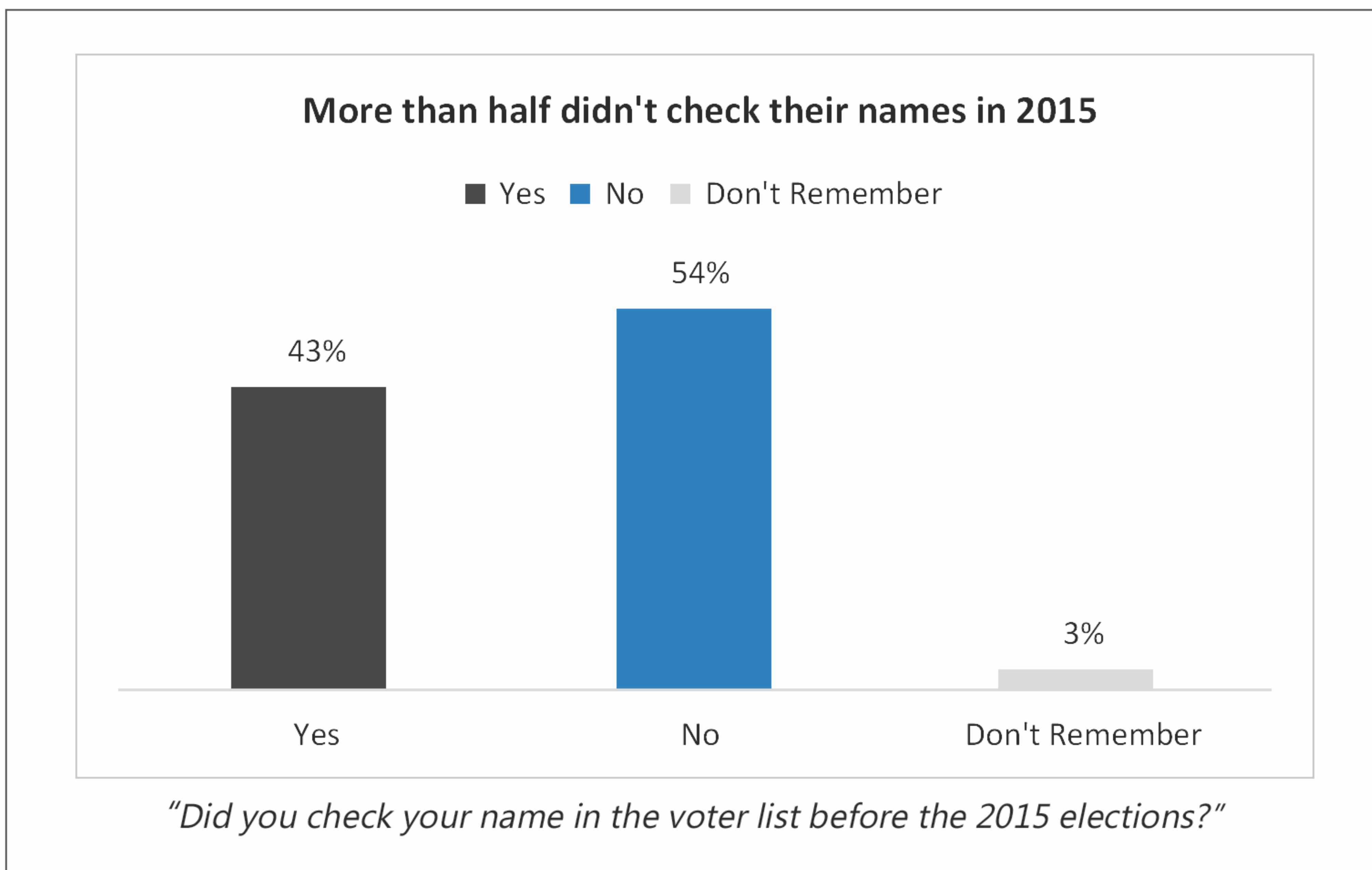
- When PACE enumerators asked, "Do you plan to check your voter list information?", almost two thirds of the respondents (63%) said they planned to check their information during the list display. When PACE enumerators asked, those who indicated their intention to check their information "Why are you planning to check your information on the list?", nearly half of the respondents (45%) said they were "not sure if name is on the list".
- When PACE enumerators asked, "How do you plan to verify your information?", more than four of each five respondents (85%) said they were planning to check their names at the display location, while only one in 25 respondents (4%) planned to check online. When PACE enumerators asked, "Do you know where to check your information on the voter list?", nearly half of the respondents (46%) said they did not know where they need to go for checking their names.



6.4 Perception of the Voter List

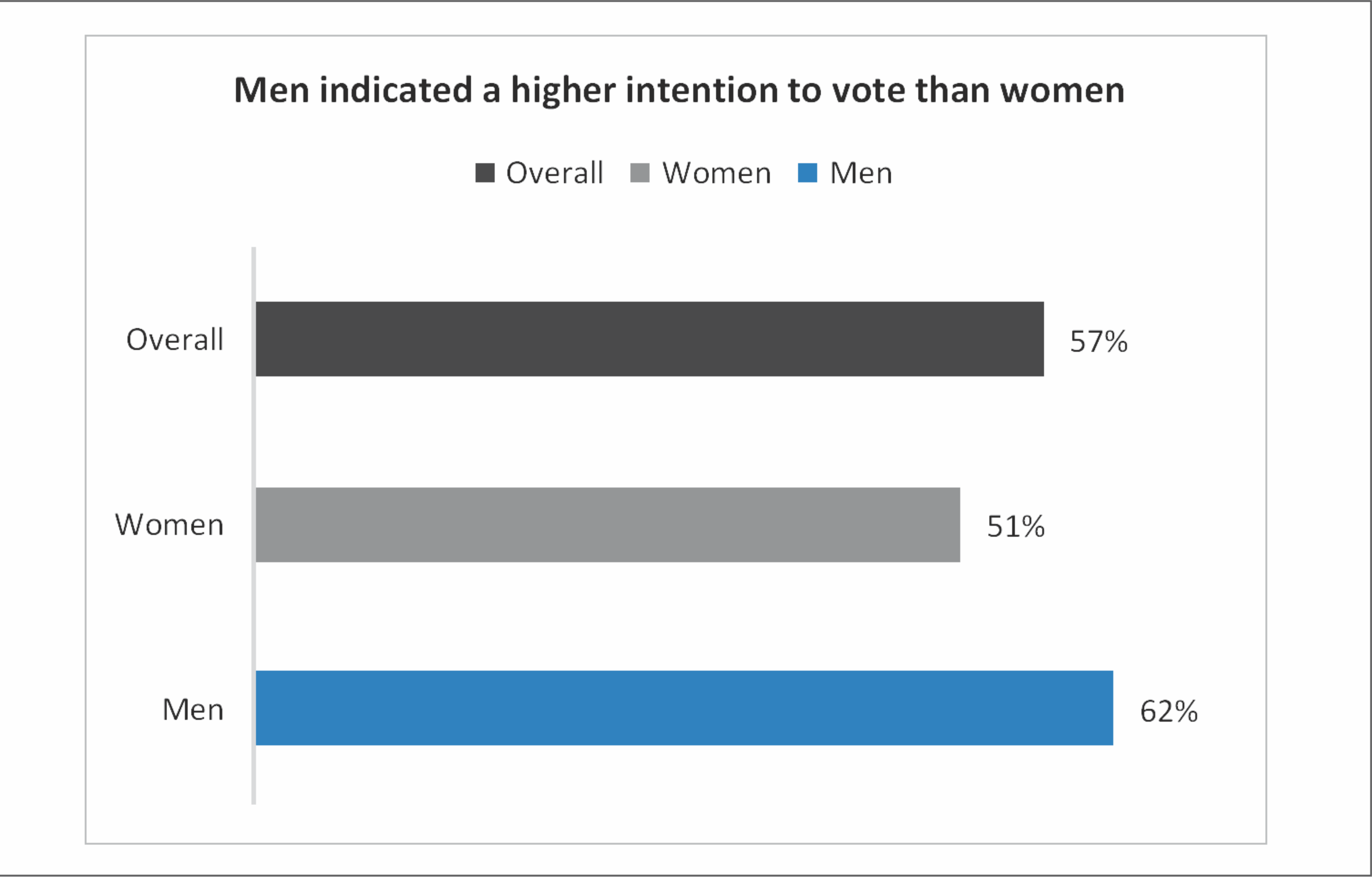
To understand the perception and opinion of the people on voter list and updating process, PACE enumerators asked if they thought that they were on the list or not and how did they get on the list.

- When PACE enumerators asked, "Do you believe that you are on the voter list?", three of every four (respondents 75%) said that they believed that they were on the list; 15% said they didn't know.
- When PACE enumerators asked the respondents who indicated their name was on the list "How did your name get on the list?", a majority (58%) said it had been added automatically. This perception was stronger in rural areas, where it was held by 72% citizens, compared to roughly half (48%) in urban areas.
- When PACE enumerators asked people who believed their name is on the list "Is your name on the list in this location or somewhere else?", almost nine out of ten (88%) said that they were on the list at their current location. While most rural respondents (95%) believed that they were on the list at their current location, the proportion was lower (82%) for urban respondents. When PACE enumerators asked, "Why is your name on the list in another location?", nearly half (48%) of the respondents answered that they were "temporary residents for work".
- When PACE enumerators asked, "Did you check your name in the voter list before the 2015 elections?", more than half of the respondent (54%) said they did not check their name.



6.5 Perception and Vote Intention for the By-Elections

- When PACE enumerators asked, "What are your biggest concerns for the upcoming by-elections?", almost two thirds (63%) of the respondents answered that they did not have any concerns.
- When PACE enumerators asked "For the 2017 by-elections, some people are planning to vote and some people are not for various reasons. Can you please tell me on a scale of 1 to 5, where 1 is definitely will not vote and 5 is will definitely vote, how likely you are to vote?", a majority of the respondents (57%) indicated that they will definitely vote in the by-elections (by answering "5" on the scale).



“For the 2017 by-elections, some people are planning to vote and some people are not for various reasons. Can you please tell me on a scale of 1 to 5, where 1 is definitely will not vote and 5 is will definitely vote, how likely you are to vote?”

VII . Voter List Update Process

PACE deployed observers to 22 randomly selected wards and villages across the by-election areas during eight of the 14 days of the public display of the voter list (February 1 and 14). During its observation of the public display of the voter list, PACE witnessed that a small number of voters visited the centers to check their information and that very few people submitted forms to request changes.

PACE recognizes the Union Election Commission's (UEC) efforts to ensure that all display centers were open to observers and all materials necessary to make changes in voter list were available to citizens.

7.1 Voter Education

PACE observers reported voter education materials at most locations around the display centers, but did not report seeing any voter education trainings or meetings. As observers only monitored within the center and its immediate vicinity, it is possible that voter education trainings or meetings could have been conducted in other locations without the observers' knowledge.

- There were voter education materials at most locations (82%).
- PACE observers saw posters in 76% of locations and pamphlets in 25%. In 11% of locations, PACE observed the use of loudspeakers. PACE observers did not witness any voter education meetings or trainings during the period of observation.
- In more than half of the locations (52%), no actor was observed conducting voter education activities. In one third of the places (34%), the local election sub-commissions were observed conducting voter education activities; in 18% of the places, CSOs were observed conducting voter education.

7.2 Display Center Management and Materials

To understand the administrative procedures of the centers, PACE observed their layout, the presence of materials, opening hours, and the behavior of display officials to ensure that changes requested by voters would be processed according to the UEC's guidelines.

- Most centers (95%) started the voter list display on February 1 as scheduled and opened the updating process to the public all the time during the observation period.
- PACE was allowed to observe in all centers.
- Most centers (94%) had all necessary forms and displayed the voters list.
- In 81% of the centers, lists were displayed so that all voters, including elderly and disabled, could easily see the list.
- At 94% of the centers, display officials were present. Of the officials present, 93% provided assistance to people who required it.

7.3 Presence of Political Parties and CSOs

During the first week of the display, PACE found that at most centers there were no political party representatives or other CSO volunteers present.

- At approximately 86% of the centers, PACE did not see any political party representatives. The NLD was present at 9% of the centers and the USDP at 7%.
- At approximately 80% of the centers, PACE did not see any other civil society volunteers.

7.4 Turnout and Submission of Changes

In the centers where PACE observed, volunteers saw quite modest turnout overall.

- In 91% of centers, PACE observed that only a small number of people (0-50) came to check their names during the observation.
- In 57% of centers, PACE did not observe any voters submitting forms. PACE cannot say why voters did not submit forms. In 35% of centers, only a few people (1 to 10) submitted forms to make changes to the list.

7.5 Intimidation and Interference

A safe environment is one of the most important factors contributing to voter turnout. PACE observed whether any intimidation occurred in and around the centers. In nearly all the centers, PACE observers did not witness intimidation of voters or interference by unauthorized persons.

- In nearly all centers, PACE did not see any intimidation of voters.
- In nearly all centers, PACE did not see any interference by unauthorized persons in the process.

VIII . In-Constituency Advance Voting

In-constituency advance voting provides the opportunity to vote to citizens who are not able to go to their assigned polling station on election day. It is important that the process is transparent and inclusive, and that citizens can cast their ballots in secrecy, and with no interference or intimidation. On the other hand, it is also important to make sure that the procedures are designed and implemented in a way that minimizes the risk of fraud.

In the days prior to election day, PACE deployed long-term observers to 22 randomly-selected wards and village tracts to monitor the in-constituency advance voting process. PACE assessed the quality of both the mobile voting and the process conducted at the sub-commission office. Generally, PACE found the process to be more open and transparent than it was during the 2015 general elections. The UEC announced the exact schedule of the voting, and both domestic and international observers were able to monitor the process without any restrictions. The secrecy of the vote was respected both at the sub-commission office and in mobile voting. However, there were isolated cases where citizens casting advance votes were not recorded in the form used by polling station officials to determine whether citizens had already voted, slightly increasing the risk of double voting.

2017 By-Elections Observation Report

Some of PACE's findings include:

- PACE observers were allowed to observe both the stationary and mobile voting without restrictions.
- Most mobile voting teams visited citizens' homes (93%), while 10% visited institutions, 5% prisons and 2% government facilities.
- At the sub-commission office, observers witnessed civil servants voting 43% of the time, followed by election officials (32%), senior citizens or people with disabilities (29%) and ill people (14%).
- During mobile voting, observers witnessed senior citizens or people with disabilities 93% of the time, followed by ill people (62%), election officials (10%), civil servants (7%) and people in prison (5%).
- The secrecy of the vote was respected 98% of the time, both at sub-commission offices and during mobile voting.
- Observers reported that all voters who participated in mobile voting were added to the Advanced Voter List (Form 13). However, at the sub-commission offices voters were not added to Form 13 8% of the time.
- PACE observers witnessed virtually no major problems during either their stationary or mobile observation.
- There was more presence by party agents during mobile voting (59%) than at sub-commission offices (37%). The NLD was the party with the most presence during the advance voting process (40%), followed by the USDP (22%).
- Observers reported that materials were not stored securely overnight at 3% of observed wards and village tracts.
- In 81% of the observed wards and village tracts, in-constituency advance voting began as expected on March 22. In 13% of the locations, advance voting was available only in the days immediately preceding the election. Two of the selected wards in Yangon did not conduct in-constituency advance voting.

IX . Election Day Sample Based Observation

On election day, PACE deployed 595 short-term observers (STOs) to 22 townships in eight states and regions to observe the election-day process, and an additional 22 long-term observers (LTOs) to observe the results tabulation process at township tabulation centers. Overall, the whole election day was calm, orderly and well administered.

PACE's Findings on the 2017 By-Elections:

In **97%** of polling stations, observers were permitted to observe voting



Learn more about the People's Alliance for Credible Elections (PACE) at: www.pacemyanmar.org

The opening and setup process was transparent, and almost all polling stations followed the UEC's procedures, regulations and instructions. At very few polling stations, some important materials were missing and very few people were voting on behalf of others. Almost all polling stations started voting by 6:30 am and very few polling station started voting after that time.

The voting process was transparent to citizen observers and party agents, and nearly all voters were able to cast their ballots without any interference or intimidation. However, PACE found that there were isolated incidents of citizens who were unable to cast their votes because their names were not on the voter list, reducing the inclusiveness of the elections.

PACE noted that the closing and counting process was more transparent to observers and party agents than during the 2015 elections. In the by-elections, more polling stations publicly posted the results forms, and the counting procedures and the decision criteria for invalid votes were consistent and accountable.


9.1 Polling station setup

To assess the quality of the full process, it is important that observers are allowed to be present at the polling station before voting and observe as polling station officials set up and prepare for the voting process. Accordingly, PACE deployed its short-term observers to the polling stations before the opening and instructed them to observe the preparation process. However, the polling

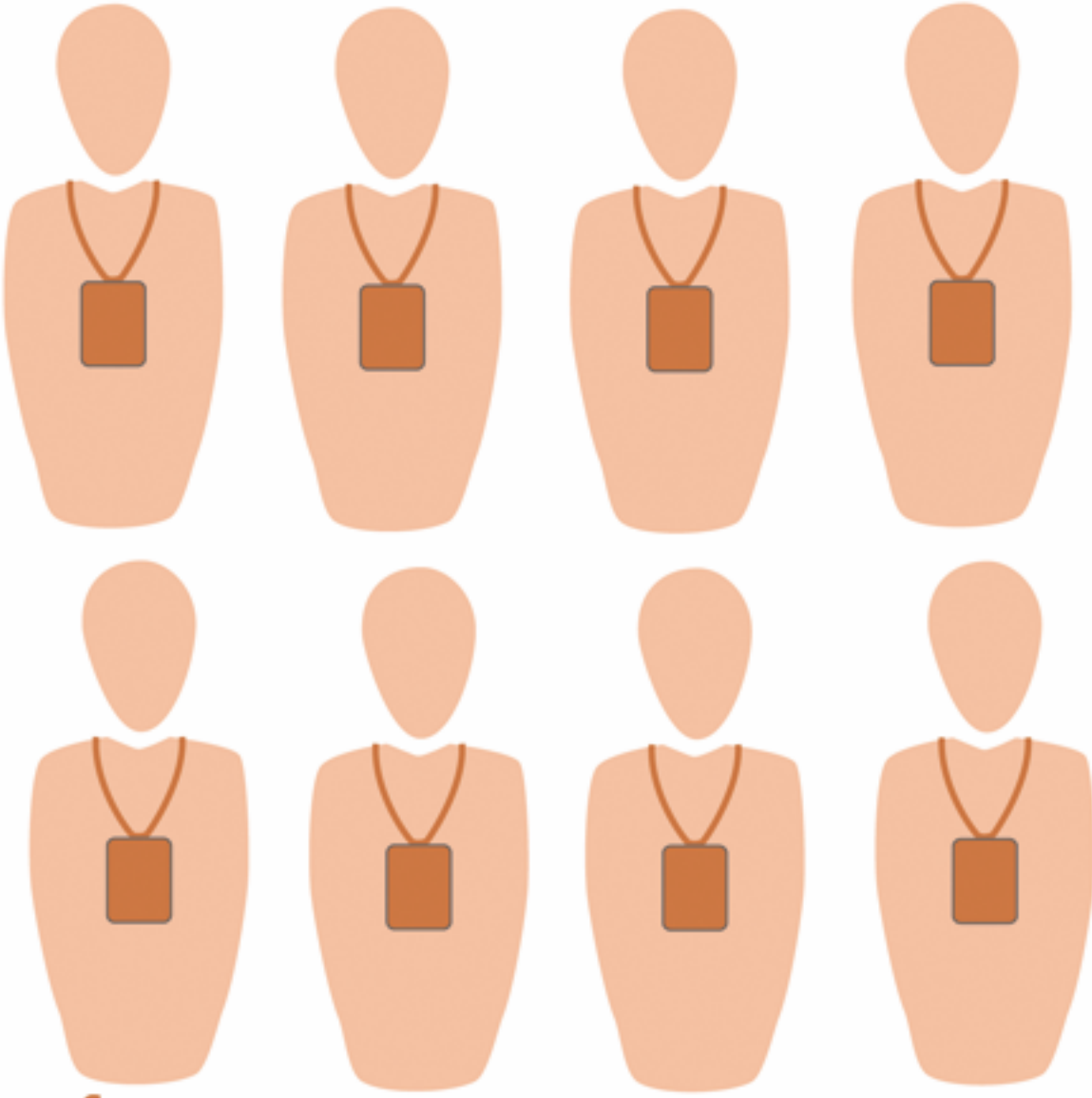
station official guidelines did not contain any specific instructions to open the setup and preparation process to observers or other members of the public. Instead, the guidelines require that the empty ballot boxes must be shown to “witnesses” before the voting begins. Even without clear guidelines from the UEC, PACE was able to observe the setup and preparation process thanks to the openness and transparency of polling station officials. Generally, the setup and preparation process was transparent and nearly all polling stations were accessible to elderly and disabled voters.

PACE’s Findings on the 2017 By-Elections:

In **88%** of polling stations, all required officials were present for opening




Learn more about the People’s Alliance for Credible Elections (PACE) at: www.pacemyanmar.org




PACE’s Findings on the 2017 By-Elections:

Voting began by 6:30 am in **92 %** of polling stations



Learn more about the People’s Alliance for Credible Elections (PACE) at: www.pacemyanmar.org



Detailed Findings

- 99% of observers were permitted to enter the polling station before voting began. Compared to the 2015 general elections, 2017 by-elections were more transparent (94% of observers were allowed to observe the opening in 2015 general elections). The isolated incidents of observers who initially were

not allowed to monitor the setup procedures were quickly addressed with the assistance of election authorities.

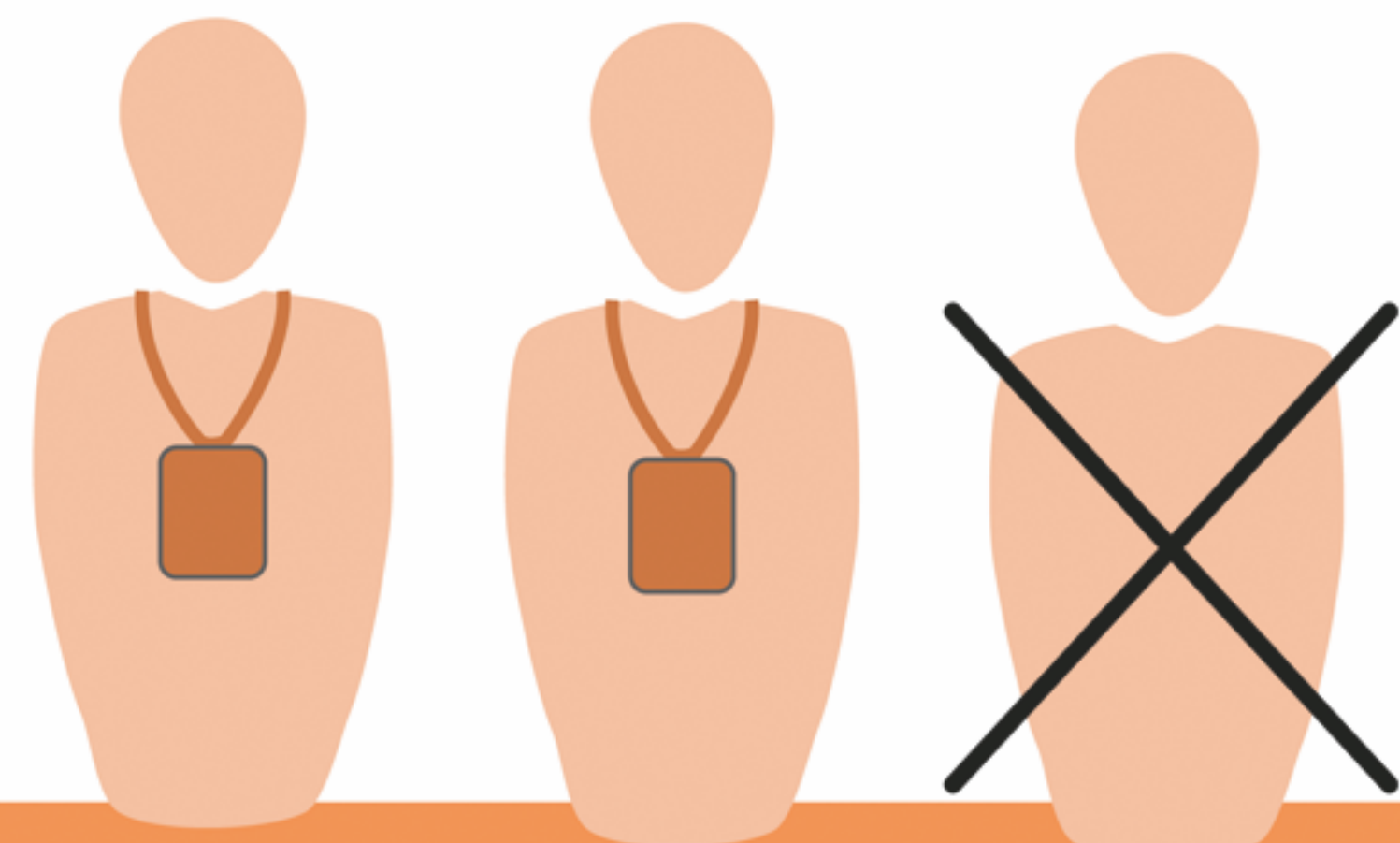
- 88% of polling station facilities were accessible to all voters, including elderly and disabled voters.
- At least 10 polling station members were present in 88% of polling stations.
- In 93% of polling stations, the advanced ballot boxes were delivered before the station opened.
- In 85% of polling stations, Form 13 (Advance Voting) was posted outside the polling station.
- At the time of opening, 96% of polling stations had all necessary materials, while 3% were missing results forms 16 and 17, and 1% were missing voter lists. Less than 1% of polling stations were missing indelible ink.
- In 92% of polling stations, voting began by 6:30, while in 8% voting began after that time.

9.2 Voting Process

Observation of the voting process can tell if the election day process has met democratic standards and principles in terms of transparency, accountability and inclusiveness. While the presence of observers and party agents demonstrates the level of transparency, the presence of unauthorized persons can undermine the level of the accountability of the polling station officials. It is important that all eligible citizens are able to exercise their political rights on election day. Therefore, it is important for the polling station officials to make sure that all voters whose names were on the voter list be able to cast their votes secretly and freely, and that people whose names were not on the list not be allowed to vote. The

PACE's Findings on the 2017 By-Elections:

Unauthorized people
were present in **9%**
of polling stations



Learn more about the People's Alliance for Credible Elections (PACE) at: www.pacemyanmar.org

2017 By-Elections Observation Report

level of accountability of the polling station officials on election day can be assessed by observing if they followed the laws, by-laws, regulations and guidelines in a consistent manner. Overall, the voting process was transparent, accountable and inclusive. However, PACE observed that a few people were turned away because their names were not on the voter list.

Detailed Findings

- Party or candidate agents were present during the voting process in 86% of polling stations across the by-election areas, but coverage was higher in Yangon (94%) than in other locations (79%). Agents for the USDP were present in 79% of polling stations and agents from NLD in 77%, while agents from other Burman parties were present in 8% and ethnic parties in 19%. Agents from independent candidates were present in 2% of polling stations. Representatives from NLD and USDP had greater presence in Yangon than in other locations, while agents for ethnic parties had more presence outside of Yangon.
- In 91% of polling stations, there were no unauthorized people present. However, in 9% of polling stations, unauthorized persons were present.
- Voters were asked to show proof of identity documents (such as a voter slip or National Registration Card) at 92% of polling stations.
- In 25% of polling stations, less than 10 people who came to vote were turned away because they were not on the voter list. This number was higher in Yangon (35%) than in other locations (16%). In 2% of stations, between 11 and 50 voters were turned away because they were not on the voter list.
- In 94% of polling stations, every voter whose name was on the voter list was allowed to vote. However, in 5% of stations, a few voters whose names were on the voter list were not allowed to vote.

PACE's Findings on the 2017 By-Elections:

Political parties were present
during counting in **86%**
of polling stations



Learn more about the People's Alliance for Credible Elections (PACE) at: www.pacemyanmar.org

- In 97% of stations, only people with names on the voter list were allowed to vote. However, in 3% of stations, people whose names were not on the voter list were allowed to vote.
- Voters were able to cast their votes in secret in 98% of polling stations.

PACE's Findings on the 2017 By-Elections:

Voters presented
proof of identity in
92% of polling stations



Learn more about the People's Alliance for Credible Elections (PACE) at: www.pacemyanmar.org

- In 98% of polling stations, there was no intimidation or harassment of voters inside or in the immediate vicinity of the polling station.
- In almost all stations, voters' fingers were marked with ink as they left the premises.
- Police were present outside 80% of polling stations.
- Observers were allowed to fully observe the voting process at 97% of polling stations. At fewer than 4% of polling stations, observers were allowed to monitor the process, but with some restrictions.

PACE's Findings on the 2017 By-Elections:

In **99%**
of polling stations, voters
were properly marked
with ink



Learn more about the People's Alliance for Credible Elections (PACE) at: www.pacemyanmar.org

9.3 Closing and Counting

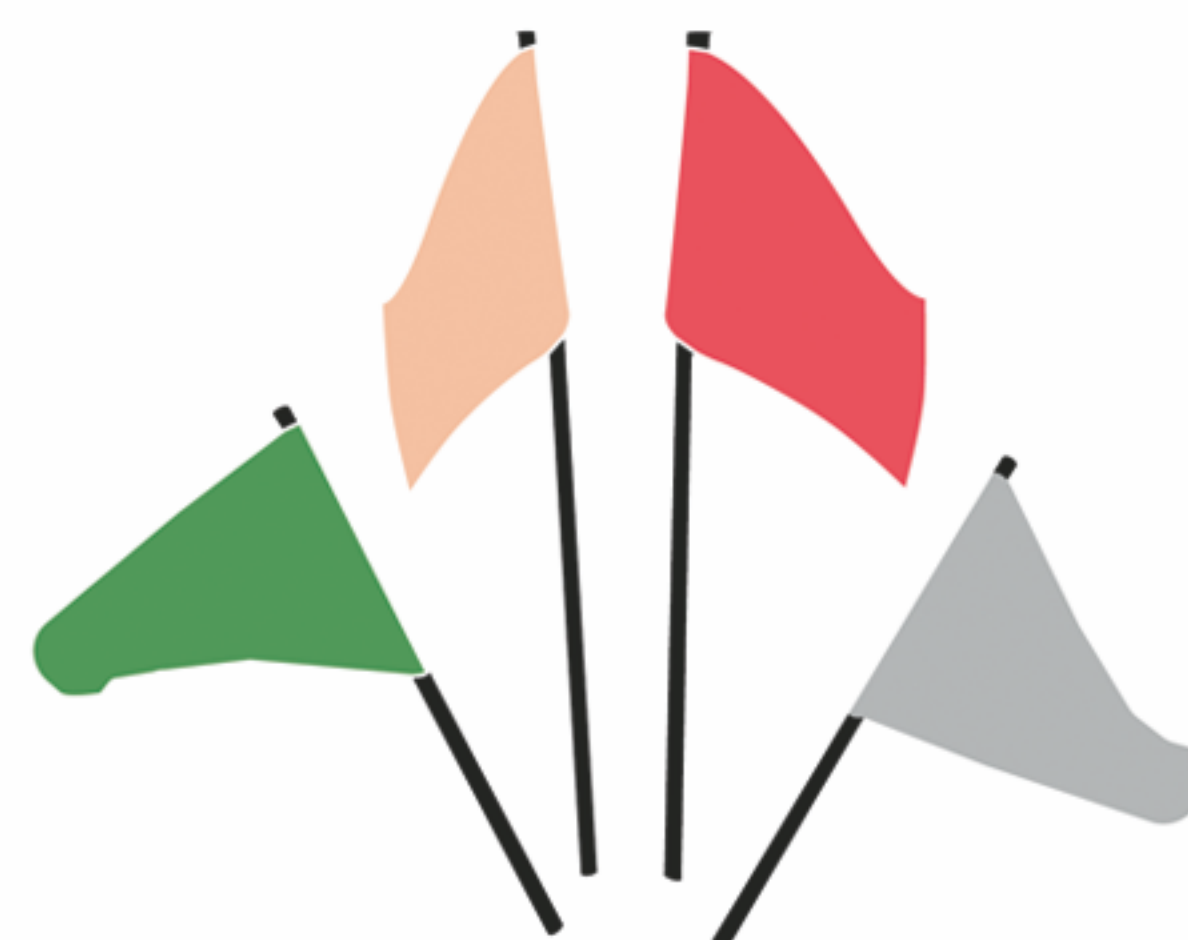
In order to have comprehensive assessment of the whole election day process, observers must witness the closing and counting process once the voting process has been completed and the polling station has closed. The level of transparency can be measured by how open the closing and counting process is to citizens, party agents and observers. On the other hand, the fact that necessary procedures, such as determining whether ballots are valid or invalid, are taken consistently during the counting process determines the level of accountability. On election day, PACE's observers remained at the polling station after voting was completed to monitor the closing and counting process, and until all the counted ballots had been transported to the township tabulation centers. Overall, the closing and counting process was transparent and accountable. The process was open to the public, the counting process was conducted in a consistent manner, and the procedures necessary for the closing were taken according to UEC guidelines.

Detailed Findings

- In almost all polling stations, observers, agents and eyewitnesses were allowed to remain in the station after it closed.
- Advance vote ballots were counted before other ballots in 86% of polling stations, in accordance with UEC guidelines.

PACE's Findings on the 2017 By-Elections:

Political parties were present
during counting in **91%**
of polling stations



Learn more about the People's Alliance for Credible Elections (PACE) at: www.pacemyanmar.org

- In 97% of polling stations, the count was conducted so that observers could see how the ballots were marked.
- In 97% of polling stations, officials declared ballots invalid in a consistent manner.
- Party or candidate agents were present during the count in 91% of polling stations. Agents for both the NLD and USDP were present in 83% of polling stations, while agents from other Burman parties were present in 7% and ethnic parties 22%. Agents from independent candidates were present in 3% of polling stations.
- After the count, ballots and forms were sealed inside tamper-evident bags in 99% of polling stations.
- In 97% of polling stations, results forms (Form 16) were posted for public viewing after the count was completed.
- In 96% of polling stations, there was no intimidation, harassment or interference in the counting process.
- In 10% of polling stations, party or candidate agents raised complaints to the station Officer during the counting process. Agents for the USDP raised complaints in 7% of stations, NLD agents raised complaints in 3% of stations, ethnic party agents in 2% of stations, and agents for other Burman parties and independent candidates' agents in fewer than 1% of stations.

X . Tabulation

The level of transparency and accountability of the tabulation process could be measured by assessing whether the results from the polling station are tabulated correctly, the process is open to the public and all the regulations are followed. After polling stations closed on April 1, PACE deployed observers to all 22 townships election sub-commissions to monitor the tabulation of results.

Generally, PACE found that the process was open to the public and all observers were allowed to monitor the whole process with no restriction. All necessary procedures were followed at nearly all tabulation centers. At all counting centers, any advance votes were received by 4 pm on election-day. Additional findings include:

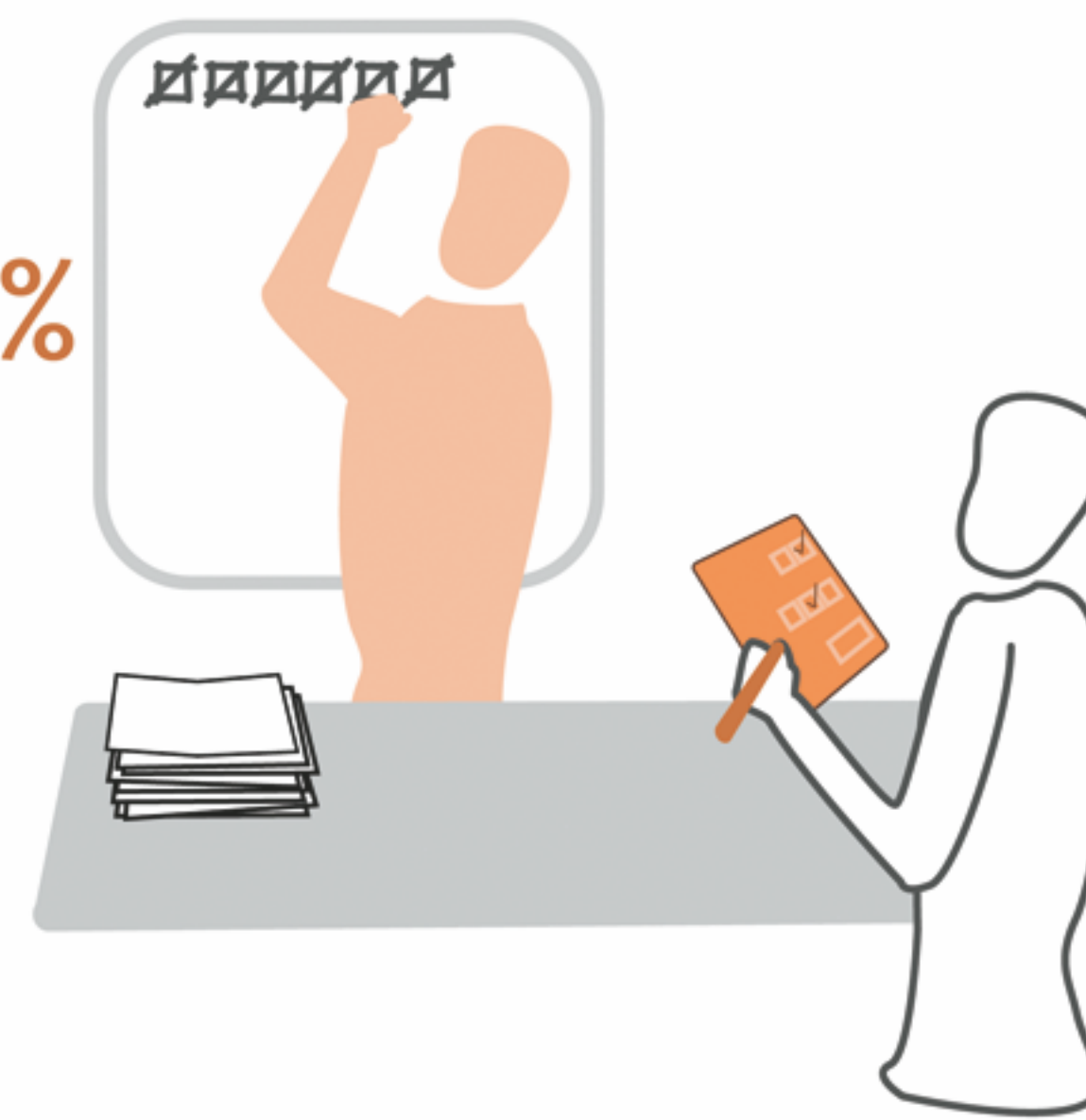
- PACE observers were allowed to monitor the tabulation process in all townships without restrictions.
- There were party and candidate agents present at all sub-commissions. NLD agents were present at 21 of the 22 tabulation centers, USDP at 20, other Burman parties at 10, ethnic parties at 8, and agents for independent candidates at 5.
- The sub-commissions did not accept any advance votes after the 4pm deadline.
- PACE observers reported being able to see the marks on the advance voting ballots in 21 of the 22 tabulation centers.
- All sensitive materials, such as ballots and results forms, were stored securely in 20 of the 22 tabulation centers.

2017 By-Elections Observation Report

- PACE observers did not witness any instances of interference, intimidation or harassment in the tabulation process.
- Party agents did not submit any complaints at 20 of the 22 tabulation centers. USDP submitted complaints at 2 centers, and NLD in 1.
- The tabulation process was completed on April 1 in 13 of the 22 townships. The rest of the centers completed the process on April 2.

PACE's Findings on the 2017 By-Elections:

Observers, agents,
and witnesses were
permitted to remain
for the counting in **99%**
of polling stations



Learn more about the People's Alliance for Credible Elections (PACE) at: www.pacemyanmar.org

XI . Methodology

Throughout its comprehensive observation of the 2017 by-election process, PACE designed and implemented systematic methodologies to ensure that its findings accurately represented the observed aspects of the process. When possible, PACE used statistically random samples, which allowed it to assess the quality of the process throughout the by-election areas.

When training its volunteer observers, PACE emphasized the organization's commitment to nonpartisanship and independence, as well as the observer code of conduct. Observers received information about the observation methodology, including how to complete and submit the survey questionnaires and observation checklists.

PACE recruited and deployed a total of 729 observers in four different groups as described in the table below. Some of the observers monitored more than one component of the election process. PACE also recruited 76 data center volunteers and 17 state and regional coordinators. Roughly half of PACE's volunteers were women.

2017 By-Elections Observation Report

	Men	Women	Total
Group 1 <ul style="list-style-type: none">● Voter Registration Survey (VRS)	31	51	82
	38%	62%	
Group 2 <ul style="list-style-type: none">● VRS (Spot Checkers)● Campaign Environment	14	8	22
	64%	36%	
Group 3 <ul style="list-style-type: none">● Voter List Update● In-Constituency Advanced Vote● Results Tabulation	20	10	30
	67%	33%	
Group 4 <ul style="list-style-type: none">● Sample-Based Observation STOs	324	271	595
	54%	46%	
Total Observers	389	340	729
	53%	47%	

The sections below provide additional detail regarding the methodology PACE used during the different phases of its observation.

11.1 Campaign Environment

PACE deployed 22 long-term observers (LTOs) from February 1 to March 30 to the 22 townships participating in the by-elections. PACE's LTOs conducted 655 interviews with candidates from four party categories: 1) The Union Solidarity and Development Party (USDP); 2) the National League for Democracy (NLD); 3) other big parties¹ in the township; and 4) small parties and independent candidates². In weekly interviews, PACE asked candidates questions about their campaign activities and challenges that they faced.

PACE also observed 388 rallies conducted by candidates from the same four party categories. PACE did not observe informal party gatherings or other political events conducted by other actors. In some cases, PACE was unable to observe rallies in very remote locations due to logistical challenges. PACE did not directly observe other political events or speeches by those not formally affiliated with the candidate.

PACE observers also conducted 173 interviews with members of all township sub-commission offices during the observation period. The LTOs asked how many applications for rallies had been submitted by candidates, how many had been approved and how many had been rejected and on what grounds.

Finally, PACE's LTOs conducted 809 interviews with different categories of voters — women, men, youth, ethnic minorities and migrant/industrial/low-income workers — within each by-election constituency.

PACE's methodology was designed to identify trends in the overall campaign environment. It did not focus on particular candidates, political races or incidents that may have been covered by media reports.

¹ Depending on the township, "Other Big Parties" could be other national parties that are popular, like the NLD or USDP, or could be local or state level parties that are strong in that township.

² For the purpose of analysis, PACE places independents and small parties in the same category as they lack the support and structure of a big party apparatus.

11.2 Survey on the Voter List and Voter Registration

To better understand public opinion about the voter list and voter registration process, including the UEC's door-to-door update, PACE surveyed citizens of Myanmar who were 18 years or older at the time of the survey. To capture the opinions across Myanmar, PACE conducted the survey in all the townships that were scheduled to conduct by-elections in April 2017, both in urban and rural locations. PACE's survey was conducted in January 2017 in a total of 80 villages and wards in all the by-election areas. The survey involved face-to-face interviews with 800 respondents.

The survey was conducted according to internationally recognized methods of random statistical sampling as detailed below.

Step 1: Stratification by township. Using data from the 2014 Myanmar Population and Housing Census, PACE calculated the proportion of adult population in each township and allocated the same proportion of survey locations in that township.

Step 2: Stratification by urban and rural. Using population information described above, PACE calculated the proportion of urban and rural population within each township. Based on the proportion within each township, PACE allocated the same proportion of survey locations between urban wards and rural villages.

Step 3: Random sample of villages and wards. Based on the allocations for each township and allocations for urban and rural locations, PACE selected wards and villages using simple random sampling. PACE used a list of wards and villages in each township compiled by the Myanmar Information Management Unit (MIMU) as a sampling frame. A total of 80 villages and wards were selected as target survey locations for the sample.

Step 4: Random household selection. Trained enumerators traveled to survey locations where they randomly selected households using a random walk sampling method beginning in a randomly selected starting point. Enumerators selected every 10th residence in rural locations (villages) and every 20th residence in urban locations (wards).

Step 5: Random respondent selection. Once a household had been selected, PACE enumerators randomly selected a resident (male and female alternatively) of that household who was over 18 and a citizen of Myanmar. Respondents were selected using the "lucky draw" method. In total, each PACE enumerator was tasked to interview 10 respondents in each village/ward location.

Step 6: Analysis. Following data collection, the data was weighted by non-response in rural/urban and townships to bring the realized sample in line with the actual distribution in Myanmar. There may be slight variation between numbers presented due to rounding where the difference is never greater than one percent. For all questions, an average of 1% of respondents refused to answer.

PACE SURVEY OVERVIEW	
Estimated population of by-elections area (Census 2014)	3,024,119
Estimated adult population of by election area (Census 2014)	2,069,274
Number of Interviews for Analysis	800
Margin of Error	+/- 3.5 percent at 95% level of confidence
Dates of Data Collection	26-29, January 2017

(The calculation of margin of error will increase for any sub-groups analysis: +/- 4.6 percent for urban, +/- 5.3 percent for rural; and +/- 4.9 percent for gender.)

11.3 Voter List Update Process

For eight days during the February 1- 14 public display of the voter list, 30 PACE observed 22 randomly-selected display centers across eight states and regions. During this process, PACE focused only on the UEC's process to update the voter list, and not on the completeness or accuracy of the voter list itself.

Specifically, PACE observers monitored the level of voter engagement, the efficiency of the sub-commission's capacities and procedures, and the presence of party representatives at the display locations. Each LTO was assigned to observe a specific display center for the duration of his or her deployment.

11.4 In-Constituency Advance Voting

PACE deployed 22 long-term observers for four days to a randomly-selected sample of wards and village tracts to monitor the advance voting process both at the sub-commission offices and during mobile voting. Each LTO was tasked to observe at his or her assigned sub-commission office during office hours, and follow the mobile ballot box if there were mobile voting.

2017 By-Elections Observation Report

PACE observers focused on the voting process, including whether procedures and guidelines by UEC were followed by officials, whether voters were able to cast their voter without any intimidation, and whether the secrecy of the vote was ensured.

11.5 Election Day Sample Based Observation

On April 1, PACE deployed close to 600 nonpartisan observers to polling stations in every by-election constituency to conduct a Sample-Based Observation (SBO) of election-day procedures. A Sample-Based Observation (SBO) is an advanced observation methodology that employs well-established statistical principles and sophisticated information technology. An SBO involves the use of a representative sample of all polling stations conducting elections to systematically assess the quality of the voting and counting process on election day. SBOs provide the most timely and accurate information on the conduct of voting and counting.

PACE's SBO for the 2017 by-elections involved deploying citizen observers to a random sample of 301 polling stations in all the by-election constituencies. PACE's citizen observers arrived to their assigned polling stations at 5:00 am. They observed the setup of polling stations, voting, counting, and the announcement and posting of results. Throughout the day, PACE's observers called the data center at four designated times to report their observations. The SBO observers collected and reported at least 18,000 data points.

11.6 Tabulation

PACE deployed 22 observers to monitor the results tabulation process at all the township tabulation centers. The LTOs began their observation on election day at 3 pm, and stayed at the tabulation centers until the tabulation process was completed. If necessary, they were instructed to return to the tabulation center the following day to observe the rest of the process.

PACE's observers focused on the level of transparency and accountability by observing whether the results were recorded correctly, whether election officials followed all the guidelines and procedures regarding the tabulation, and whether observers and party agents were allowed to witness the process.



■ Appendix-1

Code of Conduct

I, _____ (*print name*), agree to work as an Short Term Observer (STO) for PACE and hereby pledge to work on in accordance with the guidelines and restrictions specified as follow:

1. That I agree to serve as an short term observer of People' Alliance For Credible Elections (PACE) for the 2015 election day;
2. That as a non-partisan observer I will not engage in any activities that could be construed as campaigning for a political party, either directly or indirectly while conducting my PACE duties. I further confirm that I am not a member or volunteer of a political party.
3. That I will execute my duties professionally, impartially, accurately and timely;
4. That I will attend training sessions, participate in the simulation and observation, and fully understand the duties of an STO;
5. That I will obey all electoral laws of Myanmar as well as the rules and Codes of Conduct of PACE throughout the period that I am an STO.
6. That I will execute my duties impartially and objectively and to the best of my ability, and in keeping with the directions given by PACE;
7. That I will report impartially, accurately and as timely as possible on all events that I observe in my capacity as PACE's STO;
8. That I will refrain from making any personal comments about my observations to the news media including social media or members of the public before PACE makes a public statement; and
9. That I further vow to resign from my role as a PACE's short term observer if I should develop any conflicts of interest that would hinder me from fulfilling impartially, accurately and in a timely manner my non-partisan election observation activities or in case of breaching the PACE Code of Conduct.
10. Further, I also vow to not accept any other assignment to serve as an observer or agent on election day for any other CSO or political party.

Name: _____

Signature: _____

Date: _____

Appendix-2

People’s Alliance for Credible Elections
Campaign Monitoring Weekly Report

State/Region		Observer Name	
Township		PACE Observer Number	
Ward/Village Tracts visited this week		Observer Phone Number	
		Dates included in this report	

Weekly Workplan Overview

Assignment	Required	Completed	Reason for incomplete?
UEC Interview	1	<input type="checkbox"/>	
Candidate Interviews			
• USDP	1	<input type="checkbox"/>	
• NLD	1	<input type="checkbox"/>	
• Other big party	1	<input type="checkbox"/>	
• Small party / independent	1	<input type="checkbox"/>	
Rally Observation			
• USDP (preferred)	1	<input type="checkbox"/>	
• NLD (preferred)	1	<input type="checkbox"/>	
• Other big party (preferred)	1	<input type="checkbox"/>	
• Small party / independent (preferred)	1	<input type="checkbox"/>	
Voter Interviews			
• Average man	1	<input type="checkbox"/>	
• Average woman	1	<input type="checkbox"/>	
• Youth	1	<input type="checkbox"/>	
• Ethnic minority	1	<input type="checkbox"/>	
• Migrant/low-income worker	1	<input type="checkbox"/>	

Number of Critical Incident Forms Completed This Week?	Number
--	--------

2017 By-Elections Observation Report

FU: Interview - UEC Sub-Commission

Each Friday, please interview a member of the UEC sub-commission and collect the following information.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)
Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)
Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)

B

Next largest party

C

Which party will you be monitoring as the “next largest party” in your township?
Remember: you must monitor this party consistently throughout the campaign period.

C

Rally requests - For rallies scheduled for this week, how many were submitted, approved and rejected?

D

Rallies requested by **USPD** candidates

D

E

Approved **USDP** rallies

E

F

Rejected or changed **USDP** rallies

F

G

Rallies requested by **NLD** candidates

G

H

Approved **NLD** rallies

H

J

Rejected or changed **NLD** rallies

J

K

Rallies requested by candidates from the **next largest party**

K

M

Approved rallies for **next largest party**

M

N

Rejected or changed parties for **next largest party**

N

P

Rallies requested by **all candidates** in this township

P

Q

Approved rallies for **all candidates** in this township

Q

R

Rejected or changed rallies for **all candidates** in this township

R

Complaints

S Did any parties submit official complaints about the decision?
(check all that apply)

None (1)	USDP (2)	NLD (3)
Other: _____ (4)	Official refused the information (5)	

S

2017 By-Elections Observation Report

FC: Candidate Interview - USDP

At the beginning of each week, please interview candidates according to instructions. A-G, fill on your own. H-R you will ask directly to the candidate or staff. Remember to fill a critical incident form if you select an answer with a “R”.

A	PACE Observer Number				A
B	Week covered by this report	Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)	B
		Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)	
		Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)	

Candidate Information

C	Candidate Party	USDP (1)			C
D	Candidate Race	Amyotha (1)	Pyithu (2)	Regional (3)	D
E	Candidate Gender	Male (1)		Female (2)	E
F	Candidate Ethnicity [Do not ask. Respond based on your observation]	Bamar (1)	Other Ethnicity (2)	Don't Know (3)	F
G	Person Interviewed	Candidate (1)		Staff (2)	G

Campaign Activity this Week

H	What campaign outreach are you planning to did you conduct this week? (Check all that apply, do not read options)	Hang Posters (1)	Distribute Materials (2)	Door-to-Door (3)	Rally (4)	H
		Parade / Loudspeaker (5)	Blast email / SMS / phone calls / Viber (6)	Media appearance / Interview (7)	Social media / Facebook (8)	
		Other: _____ (9)		None (10)		
J	How many rally requests did you submit this week?					J
K	How many requests were accepted?					K
M	How many requests were rejected or changed?					M
N	In general, how many days prior to your event did you receive approval?	Same day (1)	1 day (2)	2-3 days (3)		N
		4+ days (4)	Don't know (5)	Never received (6)		

People’s Alliance For Credible Elections

P Were you requested to change the date, time or location of any events?

Yes (1)	No (2)
------------	-----------

P

Q Did you file any complaint about the process?

Yes (1)	No (2)
------------	-----------

Q

R Do you face any problems campaigning in this area? If so, what kinds of problems? *(Don’t read options. Check all that apply.)*

No problems (1)	Interference in campaign activities <i>R</i> (2)	Problems with job/business (3)
Physical threats/harm <i>R</i> (4)	Property/campaign material damage (5)	Problems with friends/family (6)
Bribes (7)	Other: _____ (8)	Refuse to answer (9)

R

When and where are your planned campaign rallies in this township this week?

2017 By-Elections Observation Report

FC: Candidate Interview - NLD

At the beginning of each week, please interview candidates according to instructions. A-G, fill on your own. H-Q you will ask directly to the candidate or staff. Remember to fill a critical incident form if you select an answer with a “0”.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5
(1)

Feb. 6 - 12
(2)

Feb. 13-19
(3)

Feb. 20 - 26
(4)

Feb. 27 - Mar. 5
(5)

Mar. 6 - 12
(6)

Mar. 13 - 19
(7)

Mar. 20 - 26
(8)

Mar. 27 - 30
(9)

B

Candidate Information

C

Candidate Party

NLD
(2)

C

D

Candidate Race

Amyotha
(1)

Pyithu
(2)

Regional
(3)

D

E

Candidate Gender

Male
(1)

Female
(2)

E

F

Candidate Ethnicity
[Do not ask. Respond based on your observation]

Bamar
(1)

Other Ethnicity
(2)

Don't Know
(3)

F

G

Person Interviewed

Candidate
(1)

Staff
(2)

G

Campaign Activity this Week

H

What campaign outreach are you planning to did you conduct this week?
(Check all that apply, do not read options)

Hang Posters
(1)

Parade / Loudspeaker
(5)

Other: _____
(9)

Distribute Materials
(2)

Blast email / SMS / phone calls / Viber
(6)

Door-to-Door
(3)

Media appearance / Interview
(7)

None
(10)

Rally
(4)

Social media / Facebook
(8)

H

J

How many rally requests did you submit this week?

J

K

How many requests were accepted?

K

M

How many requests were rejected or changed?

M

N

In general, how many days prior to your event did you receive approval?

Same day
(1)

4+ days
(4)

1 day
(2)

Don't know
(5)

2-3 days
(3)

Never received
(6)

N

People’s Alliance For Credible Elections

P Were you requested to change the date, time or location of any events?

Yes (1)	No (2)
------------	-----------

P

Q Did you file any complaint about the process?

Yes (1)	No (2)
------------	-----------

Q

R Do you face any problems campaigning in this area? If so, what kinds of problems? *(Don't read options. Check all that apply.)*

No problems (1)	Interference in campaign activities <i>R</i> (2)	Problems with job/business (3)
Physical threats/harm <i>R</i> (4)	Property/campaign material damage (5)	Problems with friends/family (6)
Bribes (7)	Other: _____ (8)	Refuse to answer (9)

R

When and where are your planned campaign rallies in this township this week?

2017 By-Elections Observation Report

FC: Candidate Interview - Other Big Party

At the beginning of each week, please interview candidates according to instructions. A-G, fill on your own. H-R you will ask directly to the candidate or staff. Remember to fill a critical incident form if you select an answer with a “R”.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5
(1)

Feb. 6 - 12
(2)

Feb. 13-19
(3)

Feb. 20 - 26
(4)

Feb. 27 - Mar. 5
(5)

Mar. 6 - 12
(6)

Mar. 13 - 19
(7)

Mar. 20 - 26
(8)

Mar. 27 - 30
(9)

B

Candidate Information

C

Candidate Party

Other Big Party
(3)

C

D

Candidate Race

Amyotha
(1)

Pyithu
(2)

Regional
(3)

D

E

Candidate Gender

Male
(1)

Female
(2)

E

F

Candidate Ethnicity
[Do not ask. Respond based on your observation]

Bamar
(1)

Other Ethnicity
(2)

Don't Know
(3)

F

G

Person Interviewed

Candidate
(1)

Staff
(2)

G

Campaign Activity this Week

H

What campaign outreach are you planning to did you conduct this week?
(Check all that apply, do not read options)

Hang Posters
(1)

Parade / Loudspeaker
(5)

Other: _____
(9)

Distribute Materials
(2)

Blast email / SMS / phone calls / Viber
(6)

Door-to-Door
(3)

Media appearance / Interview
(7)

None
(10)

Rally
(4)

Social media / Facebook
(8)

H

J

How many rally requests did you submit this week?

J

K

How many requests were accepted?

K

M

How many requests were rejected or changed?

M

N

In general, how many days prior to your event did you receive approval?

Same day
(1)

4+ days
(4)

1 day
(2)

Don't know
(5)

2-3 days
(3)

Never received
(6)

N

People’s Alliance For Credible Elections

P Were you requested to change the date, time or location of any events?

Yes (1)	No (2)
------------	-----------

P

Q Did you file any complaint about the process?

Yes (1)	No (2)
------------	-----------

Q

R Do you face any problems campaigning in this area? If so, what kinds of problems? *(Don’t read options. Check all that apply.)*

No problems (1)	Interference in campaign activities <i>R</i> (2)	Problems with job/business (3)
Physical threats/harm <i>R</i> (4)	Property/campaign material damage (5)	Problems with friends/family (6)
Bribes (7)	Other: _____ (8)	Refuse to answer (9)

R

When and where are your planned campaign rallies in this township this week?

2017 By-Elections Observation Report

FC: Candidate Interview - Small Party or Independent Candidate

At the beginning of each week, please interview candidates according to instructions. A-G, fill on your own. H-R you will ask directly to the candidate or staff. Remember to fill a critical incident form if you select an answer with a “R”.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5
(1)

Feb. 6 - 12
(2)

Feb. 13-19
(3)

Feb. 20 - 26
(4)

Feb. 27 - Mar. 5
(5)

Mar. 6 - 12
(6)

Mar. 13 - 19
(7)

Mar. 20 - 26
(8)

Mar. 27 - 30
(9)

B

Candidate Information

C

Candidate Party

Small Party/Independent
(4)

C

D

Candidate Race

Amyotha
(1)

Pyithu
(2)

Regional
(3)

D

E

Candidate Gender

Male
(1)

Female
(2)

E

F

Candidate Ethnicity
[Do not ask. Respond based on your observation]

Bamar
(1)

Other Ethnicity
(2)

Don't Know
(3)

F

G

Person Interviewed

Candidate
(1)

Staff
(2)

G

Campaign Activity this Week

H

What campaign outreach are you planning to did you conduct this week?
(Check all that apply, do not read options)

Hang Posters
(1)

Parade / Loudspeaker
(5)

Other: _____
(9)

Distribute Materials
(2)

Blast email / SMS / phone calls / Viber
(6)

Door-to-Door
(3)

Media appearance / Interview
(7)

None
(10)

Rally
(4)

Social media / Facebook
(8)

H

J

How many rally requests did you submit this week?

J

K

How many requests were accepted?

K

M

How many requests were rejected or changed?

M

N

In general, how many days prior to your event did you receive approval?

Same day
(1)

4+ days
(4)

1 day
(2)

Don't know
(5)

2-3 days
(3)

Never received
(6)

N

People’s Alliance For Credible Elections

P Were you requested to change the date, time or location of any events?

Yes (1)	No (2)
------------	-----------

P

Q Did you file any complaint about the process?

Yes (1)	No (2)
------------	-----------

Q

R Do you face any problems campaigning in this area? If so, what kinds of problems? *(Don’t read options. Check all that apply.)*

No problems (1)	Interference in campaign activities <i>R</i> (2)	Problems with job/business (3)
Physical threats/harm <i>R</i> (4)	Property/campaign material damage (5)	Problems with friends/family (6)
Bribes (7)	Other: _____ (8)	Refuse to answer (9)

R

When and where are your planned campaign rallies in this township this week?

2017 By-Elections Observation Report

FR: Rally Observation (USDP preferred)
Each week, please observe four different rallies according to instructions. Remember to fill a critical incident form if you select an answer with a “R”.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)
Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)
Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)

B

Candidate Information

C

Candidate Party

USDP (1)	NLD (2)	Other Big Party (3)	Small Party / Independent (4)
-------------	------------	------------------------	----------------------------------

C

D

Candidate Race
[Select all that apply]

Amyotha (1)	Pyithu (2)	Regional (3)
----------------	---------------	-----------------

D

Rally Information

E

Event is in

Ward (Urban) (1)	Village (Rural) (2)
---------------------	------------------------

E

F

Ward/village name

F

G

Event date

Day	Month

G

H

Where was the rally held?
[Select only one]

Government school / building (1)	Religious place (2)	Public space (park, market, etc.) (3)
Sports stadium / field (4)	Party office (5)	Private office / house (6)
Industrial building / factory (7)	Other: _____ (8)	

H

J

Did any other speakers join the candidate?
[Select all that apply]

No one (1)	Party leader (2)	Religious leader (3)
Local authority (4)	Celebrity (5)	Community leader (6)
Businessman / woman (7)	Other: _____ (8)	

J

People’s Alliance For Credible Elections

K	Approximately how many people were in attendance?	1 - 30 (1)	31 - 100 (2)	More than 100 (3)	K
M	Were security officials present?	Yes (1) No (2)			M
N	What kind of materials were given to participants at the event? <i>(Select all that apply)</i>	Nothing (1)	Print materials (2)	Small presents (fertilizer, soap, toothpaste, etc.) (3)	N
		Food (4)	Money (5)	Party souvenirs (pins, headbands, notebooks, brooches, flags, etc.) (6)	
		Other _____ (7)			
P	Did the candidate or any other person make any personal or inciting comments against another candidate?	Yes <i>R</i> (1) No (2)			P
Q	Did the candidate or any other official speaker make inciting comments against any group or person related to their race, religion and/or gender? <i>[Select all that apply]</i>	No (1)	Yes, against race [Ⓢ] (2)		Q
		Yes, against religion <i>R</i> (3)	Yes, against gender [Ⓢ] (4)		
R	Did you see any state vehicles or other resources used to organize the event?	Yes (1) No (2)			R
S	Was there any outside interference or disruption of the event?	Yes <i>R</i> (1) No (2)			S

2017 By-Elections Observation Report

FR: Rally Observation (NLD preferred)
Each week, please observe four different rallies according to instructions. Remember to fill a critical incident form if you select an answer with a “~~2~~”.

A	PACE Observer Number				A
B	Week covered by this report	Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)	B
		Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)	
		Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)	

Candidate Information

C	Candidate Party	USDP (1)	NLD (2)	Other Big Party (3)	Small Party / Independent (4)	C	
D	Candidate Race [Select all that apply]	Amyotha (1)			Pyithu (2)	Regional (3)	D

Rally Information

E	Event is in	Ward (Urban) (1)	Village (Rural) (2)	E	
F	Ward/village name				F
G	Event date	Day	Month	G	
H	Where was the rally held? [Select only one]	Government school / building (1)	Religious place (2)	Public space (park, market, etc.) (3)	H
		Sports stadium / field (4)	Party office (5)	Private office / house (6)	
		Industrial building / factory (7)	Other: _____ (8)		
J	Did any other speakers join the candidate? [Select all that apply]	No one (1)	Party leader (2)	Religious leader (3)	J
		Local authority (4)	Celebrity (5)	Community leader (6)	
		Businessman / woman (7)	Other: _____ (8)		

People’s Alliance For Credible Elections

K	Approximately how many people were in attendance?	1 - 30 (1)	31 - 100 (2)	More than 100 (3)	K
M	Were security officials present?	Yes (1) No (2)			M
N	What kind of materials were given to participants at the event? <i>(Select all that apply)</i>	Nothing (1)	Print materials (2)	Small presents (fertilizer, soap, toothpaste, etc.) (3)	N
		Food (4)	Money (5)	Party souvenirs (pins, headbands, notebooks, brooches, flags, etc.) (6)	
		Other <div></div> (7)			
P	Did the candidate or any other person make any personal or inciting comments against another candidate?	Yes <i>R</i> (1) No (2)			P
Q	Did the candidate or any other official speaker make inciting comments against any group or person related to their race, religion and/or gender? <i>[Select all that apply]</i>	No (1)	Yes, against race <i>R</i> (2)		Q
		Yes, against religion <i>R</i> (3)	Yes, against gender <i>R</i> (4)		
R	Did you see any state vehicles or other resources used to organize the event?	Yes (1) No (2)			R
S	Was there any outside interference or disruption of the event?	Yes <i>R</i> (1) No (2)			S

2017 By-Elections Observation Report

FR: Rally Observation (Other Big Party preferred)
Each week, please observe four different rallies according to instructions. Remember to fill a critical incident form if you select an answer with a “~~2~~”.

A	PACE Observer Number				A
B	Week covered by this report	Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)	B
		Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)	
		Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)	

Candidate Information

C	Candidate Party	USDP (1)	NLD (2)	Other Big Party (3)	Small Party / Independent (4)	C	
D	Candidate Race [Select all that apply]	Amyotha (1)			Pyithu (2)	Regional (3)	D

Rally Information

E	Event is in	Ward (Urban) (1)	Village (Rural) (2)	E	
F	Ward/village name				F
G	Event date	Day	Month	G	
H	Where was the rally held? [Select only one]	Government school / building (1)	Religious place (2)	Public space (park, market, etc.) (3)	H
		Sports stadium / field (4)	Party office (5)	Private office / house (6)	
		Industrial building / factory (7)	Other: _____ (8)		
J	Did any other speakers join the candidate? [Select all that apply]	No one (1)	Party leader (2)	Religious leader (3)	J
		Local authority (4)	Celebrity (5)	Community leader (6)	
		Businessman / woman (7)	Other: _____ (8)		

People’s Alliance For Credible Elections

K	Approximately how many people were in attendance?	1 - 30 (1)	31 - 100 (2)	More than 100 (3)	K
M	Were security officials present?	Yes (1) No (2)			M
N	What kind of materials were given to participants at the event? <i>(Select all that apply)</i>	Nothing (1)	Print materials (2)	Small presents (fertilizer, soap, toothpaste, etc.) (3)	N
		Food (4)	Money (5)	Party souvenirs (pins, headbands, notebooks, brooches, flags, etc.) (6)	
		Other _____ (7)			
P	Did the candidate or any other person make any personal or inciting comments against another candidate?	Yes <i>R</i> (1) No (2)			P
Q	Did the candidate or any other official speaker make inciting comments against any group or person related to their race, religion and/or gender? <i>[Select all that apply]</i>	No (1)	Yes, against race <i>R</i> (2)		Q
		Yes, against religion <i>R</i> (3)	Yes, against gender <i>R</i> (4)		
R	Did you see any state vehicles or other resources used to organize the event?	Yes (1) No (2)			R
S	Was there any outside interference or disruption of the event?	Yes <i>R</i> (1) No (2)			S

2017 By-Elections Observation Report

FR: Rally Observation (Small Party / Independent Candidate preferred)
Each week, please observe four different rallies according to instructions. Remember to fill a critical incident form if you select an answer with a “P”.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)
Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)
Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)

B

Candidate Information

C

Candidate Party

USDP (1)	NLD (2)	Other Big Party (3)	Small Party / Independent (4)
-------------	------------	------------------------	----------------------------------

C

D

Candidate Race
[Select all that apply]

Amyotha (1)	Pyithu (2)	Regional (3)
----------------	---------------	-----------------

D

Rally Information

E

Event is in

Ward (Urban) (1)	Village (Rural) (2)
---------------------	------------------------

E

F

Ward/village name

F

G

Event date

Day	Month

G

H

Where was the rally held?
[Select only one]

Government school / building (1)	Religious place (2)	Public space (park, market, etc.) (3)
Sports stadium / field (4)	Party office (5)	Private office / house (6)
Industrial building / factory (7)	Other: _____ (8)	

H

J

Did any other speakers join the candidate?
[Select all that apply]

No one (1)	Party leader (2)	Religious leader (3)
Local authority (4)	Celebrity (5)	Community leader (6)
Businessman / woman (7)	Other: _____ (8)	

J

People’s Alliance For Credible Elections

K	Approximately how many people were in attendance?	1 - 30 (1)	31 - 100 (2)	More than 100 (3)	K
M	Were security officials present?	Yes (1) No (2)			M
N	What kind of materials were given to participants at the event? <i>(Select all that apply)</i>	Nothing (1)	Print materials (2)	Small presents (fertilizer, soap, toothpaste, etc.) (3)	N
		Food (4)	Money (5)	Party souvenirs (pins, headbands, notebooks, brooches, flags, etc.) (6)	
		Other _____ (7)			
P	Did the candidate or any other person make any personal or inciting comments against another candidate?	Yes <i>R</i> (1) No (2)			P
Q	Did the candidate or any other official speaker make inciting comments against any group or person related to their race, religion and/or gender? <i>[Select all that apply]</i>	No (1)	Yes, against race <i>R</i> (2)		Q
		Yes, against religion <i>R</i> (3)	Yes, against gender <i>R</i> (4)		
R	Did you see any state vehicles or other resources used to organize the event?	Yes (1) No (2)			R
S	Was there any outside interference or disruption of the event?	Yes <i>R</i> (1) No (2)			S

2017 By-Elections Observation Report

FV: Voter Interview - Average Man

One time each week, please interview a new person according to instructions. A-G, fill on your own. H-P you will ask directly to the person.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5
(1)

Feb. 6 - 12
(2)

Feb. 13-19
(3)

Feb. 20 - 26
(4)

Feb. 27 - Mar. 5
(5)

Mar. 6 - 12
(6)

Mar. 13 - 19
(7)

Mar. 20 - 26
(8)

Mar. 27 - 30
(9)

B

C

Which assigned interview are you conducting?

Average man
(1)

C

Voter Information

D

Voter gender

Male
(1)

Female
(2)

D

E

Voter ethnicity

Bamar
(1)

Other Ethnicity
(2)

E

F

Voter lives in

Ward / Urban
(1)

Village /Rural
(2)

F

G

Voter age is

Under 30
(1)

Over 30
(2)

G

Campaign Information

H

Is there much campaign activity in this area?

A lot
(1)

Some
(2)

Not much
(3)

None
(4)

Don't know
(5)

H

J

Are voters in this area interested in the election?

Yes
(1)

No
(2)

Don't know
(3)

J

K

Do voters in this area feel free to attend campaign events of the party they like?

Yes
(1)

No
(2)

Don't know
(3)

K

M

Do many voters here want to vote?

Yes
(1)

No
(2)

Don't know
(3)

M

N

Do voters in this area feel free to vote for candidates or parties that they like?

Yes
(1)

No
(2)

Don't know
(3)

N

74

P	Do you think voters in this area face problems if they vote for certain political parties? If so, what problems? <i>(Don't read options. Check all that apply.)</i>	No problem (1)	Problems with friends / family (2)	Problems with job / business (3)	P
		Physical threats / harm (4)	Property damage (5)	Vote buying / bribe (6)	
		Other: _____ (7)		Don't know / Refuse to answer (8)	

2017 By-Elections Observation Report

FV: Voter Interview - Average Woman

One time each week, please interview a new person according to instructions. A-G, fill on your own. H-P you will ask directly to the person.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5
(1)

Feb. 6 - 12
(2)

Feb. 13-19
(3)

Feb. 20 - 26
(4)

Feb. 27 - Mar. 5
(5)

Mar. 6 - 12
(6)

Mar. 13 - 19
(7)

Mar. 20 - 26
(8)

Mar. 27 - 30
(9)

B

C

Which assigned interview are you conducting?

Average woman
(2)

C

Voter Information

D

Voter gender

Male
(1)

Female
(2)

D

E

Voter ethnicity

Bamar
(1)

Other Ethnicity
(2)

E

F

Voter lives in

Ward / Urban
(1)

Village /Rural
(2)

F

G

Voter age is

Under 30
(1)

Over 30
(2)

G

Campaign Information

H

Is there much campaign activity in this area?

A lot
(1)

Some
(2)

Not much
(3)

None
(4)

Don't know
(5)

H

J

Are voters in this area interested in the election?

Yes
(1)

No
(2)

Don't know
(3)

J

K

Do voters in this area feel free to attend campaign events of the party they like?

Yes
(1)

No
(2)

Don't know
(3)

K

M

Do many voters here want to vote?

Yes
(1)

No
(2)

Don't know
(3)

M

N

Do voters in this area feel free to vote for candidates or parties that they like?

Yes
(1)

No
(2)

Don't know
(3)

N

P Do you think voters in this area face problems if they vote for certain political parties? ? If so, what problems?
(Don't read options. Check all that apply.)

No problem (1)	Problems with friends / family (2)	Problems with job / business (3)
Physical threats / harm (4)	Property damage (5)	Vote buying / bribe (6)
Other: _____ (7)		Don't know / Refuse to answer (8)

P

2017 By-Elections Observation Report

FV: Voter Interview - Youth

One time each week, please interview a new person according to instructions. A-G, fill on your own. H-P you will ask directly to the person.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)
Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)
Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)

B

C

Which assigned interview are you conducting?

Youth
(3)

C

Voter Information

D

Voter gender

Male (1)	Female (2)
-------------	---------------

D

E

Voter ethnicity

Bamar (1)	Other Ethnicity (2)
--------------	------------------------

E

F

Voter lives in

Ward / Urban (1)	Village /Rural (2)
---------------------	-----------------------

F

G

Voter age is

Under 30 (1)	Over 30 (2)
-----------------	----------------

G

Campaign Information

H

Is there much campaign activity in this area?

A lot (1)	Some (2)	Not much (3)
None (4)	Don't know (5)	

H

J

Are voters in this area interested in the election?

Yes (1)	No (2)	Don't know (3)
------------	-----------	-------------------

J

K

Do voters in this area feel free to attend campaign events of the party they like?

Yes (1)	No (2)	Don't know (3)
------------	-----------	-------------------

K

M

Do many voters here want to vote?

Yes (1)	No (2)	Don't know (3)
------------	-----------	-------------------

M

N

Do voters in this area feel free to vote for candidates or parties that they like?

Yes (1)	No (2)	Don't know (3)
------------	-----------	-------------------

N

78

P

Do you think voters in this area face problems if they vote for certain political parties? ? If so, what problems?
(Don't read options. Check all that apply.)

No problem (1)	Problems with friends / family (2)	Problems with job / business (3)
Physical threats / harm (4)	Property damage (5)	Vote buying / bribe (6)
Other: _____ (7)		Don't know / Refuse to answer (8)

P

2017 By-Elections Observation Report

FV: Voter Interview - Ethnic Minority

One time each week, please interview a new person according to instructions. A-G, fill on your own. H-P you will ask directly to the person.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5
(1)

Feb. 6 - 12
(2)

Feb. 13-19
(3)

Feb. 20 - 26
(4)

Feb. 27 - Mar. 5
(5)

Mar. 6 - 12
(6)

Mar. 13 - 19
(7)

Mar. 20 - 26
(8)

Mar. 27 - 30
(9)

B

C

Which assigned interview are you conducting?

Ethnic Minority
(4)

C

Voter Information

D

Voter gender

Male
(1)

Female
(2)

D

E

Voter ethnicity

Bamar
(1)

Other Ethnicity
(2)

E

F

Voter lives in

Ward / Urban
(1)

Village /Rural
(2)

F

G

Voter age is

Under 30
(1)

Over 30
(2)

G

Campaign Information

H

Is there much campaign activity in this area?

A lot
(1)

Some
(2)

Not much
(3)

None
(4)

Don't know
(5)

H

J

Are voters in this area interested in the election?

Yes
(1)

No
(2)

Don't know
(3)

J

K

Do voters in this area feel free to attend campaign events of the party they like?

Yes
(1)

No
(2)

Don't know
(3)

K

M

Do many voters here want to vote?

Yes
(1)

No
(2)

Don't know
(3)

M

N

Do voters in this area feel free to vote for candidates or parties that they like?

Yes
(1)

No
(2)

Don't know
(3)

N

People’s Alliance For Credible Elections

P

Do you think voters in this area face problems if they vote for certain political parties? ? If so, what problems?
(Don't read options. Check all that apply.)

No problem (1)	Problems with friends / family (2)	Problems with job / business (3)
Physical threats / harm (4)	Property damage (5)	Vote buying / bribe (6)
Other: _____ (7)		Don't know / Refuse to answer (8)

P

2017 By-Elections Observation Report

FV: Voter Interview - Migrant or Low-Income Worker

One time each week, please interview a new person according to instructions. A-G, fill on your own. H-P you will ask directly to the person.

A

PACE Observer Number

A

B

Week covered by this report

Jan. 30 - Feb. 5
(1)

Feb. 6 - 12
(2)

Feb. 13-19
(3)

Feb. 20 - 26
(4)

Feb. 27 - Mar. 5
(5)

Mar. 6 - 12
(6)

Mar. 13 - 19
(7)

Mar. 20 - 26
(8)

Mar. 27 - 30
(9)

B

C

Which assigned interview are you conducting?

Migrant / Low-income worker
(5)

C

Voter Information

D

Voter gender

Male
(1)

Female
(2)

D

E

Voter ethnicity

Bamar
(1)

Other Ethnicity
(2)

E

F

Voter lives in

Ward / Urban
(1)

Village /Rural
(2)

F

G

Voter age is

Under 30
(1)

Over 30
(2)

G

Campaign Information

H

Is there much campaign activity in this area?

A lot
(1)

Some
(2)

Not much
(3)

None
(4)

Don't know
(5)

H

J

Are voters in this area interested in the election?

Yes
(1)

No
(2)

Don't know
(3)

J

K

Do voters in this area feel free to attend campaign events of the party they like?

Yes
(1)

No
(2)

Don't know
(3)

K

M

Do many voters here want to vote?

Yes
(1)

No
(2)

Don't know
(3)

M

N

Do voters in this area feel free to vote for candidates or parties that they like?

Yes
(1)

No
(2)

Don't know
(3)

N

P

Do you think voters in this area face problems if they vote for certain political parties? ? If so, what problems?
(Don't read options. Check all that apply.)

No problem (1)	Problems with friends / family (2)	Problems with job / business (3)
Physical threats / harm (4)	Property damage (5)	Vote buying / bribe (6)
Other: _____ (7)		Don't know / Refuse to answer (8)

P

2017 By-Elections Observation Report

People’s Alliance for Credible Elections
Critical Incident Form - Campaign Monitoring

State/Region		Observer Name	
Township		Observer Phone Number	

A

PACE Observer Number

A

B

Incident date

Day	Month

B

C

Week in which the incident occurred

Jan. 30 - Feb. 5 (1)	Feb. 6 - 12 (2)	Feb. 13-19 (3)
Feb. 20 - 26 (4)	Feb. 27 - Mar. 5 (5)	Mar. 6 - 12 (6)
Mar. 13 - 19 (7)	Mar. 20 - 26 (8)	Mar. 27 - 30 (9)

C

D

Type of location where the incident occurred

Ward (Urban) (1)	Village (Rural) (2)
---------------------	------------------------

D

E

Ward / village tract name

E

F

Did you witness the incident?

I witnessed the incident (1)	I arrived just after the incident happened (2)	The incident was reported to me by someone else (3)
---------------------------------	---	--

F

G

Where did the incident occur? [full address]

G

H

Type of incident
[choose all that apply]

PACE observers prevented access (1)	Violence (inter-communal) (2)	Violence (between parties) (3)
Violence (between EAG and government) (4)	Intimidation / threats (5)	Interference with campaign event/materials (6)
Inflammatory remarks in campaign (7)	Other: _____ (8)	

H

People’s Alliance For Credible Elections

J

Who caused the incident?
[choose all that apply]

Voter (1)	UEC official (2)	Party member / agent (Party: _____) (3)
Local authority) (4)	Security force (5)	Religious leader (6)
	Other: _____ (7)	

J

K

Who was impacted by the incident?
[choose all that apply]

Voter (1)	UEC official (2)	Party member / agent (Party: _____) (3)
	Other: _____ (4)	

K

M

What type of people were impacted?
[choose all that apply if multiple people were impacted]

Men (1)	Women (2)	Bamar (3)
Other ethnicity (4)	Muslims (5)	Christians (6)
Hindus (7)	Animists (8)	Persons with disabilities (9)
	Other: _____ (10)	

M

N

In your opinion, how many voters were affected by this incident?

None (1)	Few (1-10) (2)	Some (11-50) (3)
Many (51 or more) (4)	Unknown (5)	

N

P

Please provide a brief description of the concrete facts regarding each incident, including **who** participated (their position and affiliation), **what** happened (type of incident), **how** did it happen, and the sequence of events. Indicate other individuals, such as other observers or party agents, who also witnessed the incident (and their contact information if possible). Use other side of the form if necessary.

P

Appendix-3

PACE Voter Registration Survey Questionnaire

To be completed by PACE Office:

Form Number					

Data Clerk 1

--	--

Data Clerk 2

--	--

To be completed by Enumerator

B	Enumerator ID				G	Ward/ Village tract	
C	Enumerator Name				H	Village	
D	Location type	Urban (1)	Rural (2)		J	PACE Location ID	
E	State/Region				K	Respondent No. (1-10)	
F	Township						

Step 1: Verifying the selected village or ward

- Please make sure that you arrived at the right village or ward.

Step 2: Selecting a starting point in the village

- Know the boundaries of your assigned village or ward, draw a sketch of it. You are not allowed to interview people from outside/ different village or ward.
- Know your starting point. If your PACE ID is an odd number (1,3, 5, 7), pick a start location from the boundaries (edge of the village/ward). If your PACE ID is an even number (2, 4, 6, 8), start from the landmark at the center of the village/ward.
- Mark the starting point with a large X on the village/ward map sheet and write down the exact address of the landmark where you started in the map information form.

Step 3. Selecting a random household:

- It is your job to select a random household. A household is a group of people who presently eat together from the same pot.
- Stand facing the landmark building, turn to your right side and begin walking. Start counting houses/residences using intervals. Use a 10 (for rural village) or 20 (for urban ward) interval pattern to select a household. Make sure to count each house and residence from both the left and the right of the road.
- Draw a sketch map of your walk & buildings in the map information form. Identify the houses that you have selected and write down the address.
- If you reach the boundary of the village/ward and there are no more houses, turn to your right and keep walking, continuing to count until finding the tenth or twentieth dwelling, depending on the interval you're using. If there is no right turn, then turn left.

Step 4a: Approach the household and introduce yourself

When you find a household with someone home, please introduce yourself using the following script. You must learn this introduction so that you can say it exactly as it is written below.

Good day. My name is _____. I am from the People's Alliance for Credible Elections (PACE), a non-partisan civil society organization. I do not represent the government or any political party. We are doing a survey of people's experience with the voter registration process and the voter list. We would like to ask a few questions to a member of your household to check whether or not his or her name and related data are correctly recorded in the voter list. All information will be kept confidential. Every person in the by-election areas has an equal chance of being included in this study. Your household has been chosen by chance. We would like to choose an adult from your household. Would you help us pick one?

Step 4b. If a visit is unsuccessful or if consent was not given

2017 By-Elections Observation Report

If participation is refused, or a visit is unsuccessful, walk away from the household, write your interval and circle the correct code in the table "Household Visits" in your questionnaire. Go to the next household using your interval (10 or 20) to select the next household.

Household Visits	HH 1	HH 2	HH 3	HH 4	HH 5	HH 6	HH 7
Numbers from the interval process	—	—	—	—	—	—	—
Completed interview	1	1	1	1	1	1	1
Partly completed interview	2	2	2	2	2	2	2
Reason for failure:							
Refused to be interviewed	99	99	99	99	99	99	99
Person selected was never at home after at least two visits	4	4	4	4	4	4	4
Household/ premises empty for survey period after at least two visits	5	5	5	5	5	5	5
Not a citizen/ spoke only a foreign language	6	6	6	6	6	6	6
Deaf/ did not speak a survey language	7	7	7	7	7	7	7
No adults in household	8	8	8	8	8	8	8
Other [specify] _____	9	9	9	9	9	9	9
Not applicable	89	89	89	89	89	89	89

Note: The person must give his or her informed consent by answering positively. If participation is refused, walk away from the household and record this in the above table on "Household Visits." Substitute the household using your interval (10 or 20) to select the next household. If consent is secured, proceed to Step 4 Respondent Selection.

Step 5: Identifying eligible respondents

Interviewer: Within the household, it is your job to randomly select an individual who is 18 years or older. This individual becomes the interview Respondent. Ask for the names of adults in the household by saying:

M This interview must be with a

Male (1)

Female (2)

M

Please tell me the names of all adults males/ females [select correct gender] who are citizens of Myanmar, and who presently live in this household. I only want the names of males/ females [select correct gender] who will be 18 years and older by April 1, 2017 (including yourself). Please tell me their name even if they are not currently home but who will return to the house later in today or by [your final deployment day]. A household is a group of people who presently eat together from the same pot. Could you please list those adults from oldest to youngest?

[Interviewer: If this interview must be with a female, list only women's names. If this interview is with a male, list only men's names. List all eligible household members of this gender who will be 18 years or older by April 1, 2017), even those not presently at home but will return to the house during your deployment periods. The name of the head of the household will be used for back-check.]

Name of the Head of Household:	
Men's Names	Women's names
1.	1.
2.	2.
3.	3.
4.	4.
5.	5.
6.	6.
7.	7.
8.	8.

9.	9.
10.	10.

Step 6: Selecting a respondent in the household
[INTERVIEWER! Take out your deck of numbered cards with their numbers representing the names of the people listed above. Present them face-down so that the numbers cannot be seen. Ask the person who is selecting respondents to pick any card, by saying:]

Please choose a card. The person who corresponds to the number chosen will be the person interviewed.

[Interviewer: REMEMBER to circle the code number of the person selected on the table above.]

The person I need to speak to is [insert name] _____. Is this person presently at home?				
Yes	1	No	2	
If yes:	May I please interview this person now?			
If not present:	Will this person return here at any time today [or tomorrow or the day after tomorrow]? (Interviewer: Only say according to the remaining deployment day)			
	Yes	1	No	2
	If yes: Please tell this person that I will return for an interview at [insert convenient time]. <i>If this respondent is not present when you go back, replace this household with another by doing one more interval count after the last household on your random walk.</i>		If no: Thank you very much. I will select another household. <i>You can't substitute an alternate member of a household for the selected respondent.</i>	

Step 7: Asking permission for an interview
If the selected respondent is not the same person that you first met, repeat Introduction:

Good day. My name is _____. I am from the People’s Alliance for Credible Elections (PACE), a non-partisan civil society organization. I do not represent the government or any political party. We are doing a survey of people’s experience with the voter registration process and the voter list. We would like to ask a few questions to a member of your household to check whether or not his or her name and related data are correctly recorded in the voter list.

The following scripts need to be read for all respondents including the one that you have given the introduction before.

All information will be kept confidential. They will be put together with 800 other people we are talking to, to get an overall picture. So, it will be impossible to pick you out from what you say. So please feel free to tell us what you think.

This survey should take about 20-30 minutes to complete. There is no penalty for refusing to participate and you can refuse to answer any question if you want to, or stop the interview at any time. There are no direct benefits to taking part but no risks either, and we hope that by contributing to this survey you will contribute to verifying the accuracy of the voter list and to evaluate the quality of the voter registration process in Myanmar . There are no right or wrong answers. Do you wish to proceed?

2017 By-Elections Observation Report

BG Who made these changes to your information on the voter list, you or someone else? **BG**

I did (1)	Someone else (2)
--------------	---------------------

To continue with the interview, the person must give his or her informed consent by answering positively.

N **Visits.** How many visits were made to the household where the interview actually took place? **N**

Circle number		
1	2	3

P **Date of interview** **P**
[Interviewer: Enter day and month. Here is an example how to enter in. If the interview is on 5 February 2017, then you enter 05 in day and 02 in month. Please use English numbers.]

Day		Month	

Q **Time when the interview started** **Q**
[Interviewer: Enter hour and minutes, use 24 hr. clock and be exact. Please use English numbers]

Hour		Minute	

R **Gender** **R**
[Interviewer: Do not ask. Enter respondent's gender.]

Male (1)	Female (2)
-------------	---------------

START THE INTERVIEW

By-election awareness

AC Are you aware that by-elections will happen in April in this township? **AC**

Yes (1)	No (2)	Refused to answer (99)
------------	-----------	---------------------------

Door to door update

BD In November last year, the UEC conducted a door-to-door update of the voter list. Did you hear about it? **BD**

Yes (1)	No (2)
Don't know (98)	Refused to answer (99)

BE During the door to door update, was your household visited? **BE**
[1 - Continue to BF
2, 98 or 99 - Go to CA]

Yes (1)	No [→ CA] (2)
Don't know [→ CA] (98)	Refused to answer [→ CA] (99)

BF Was any of your information on the voter list updated during the door-to-door visit? <i>[1, 98 or 99 - Go to CA 2, 3 or 4 - Continue to BG]</i>	No [→ CA] (1)	Yes, some information was missing (2)	Yes, some information was incorrect (3)	BF
	Yes, other changes _____ (4)	Don't know [→ CA] (98)	Refused to answer [→ CA] (99)	

Voter list display process

CA The UEC is planning to conduct a display and update of the voter list in February. Have you heard anything about the voter list display? <i>[1 - Continue to CE 2, 98 or 99 - Go to CG]</i>	Yes (1)	No [→ CG] (2)	CA
	Don't know [→ CG] (98)	Refused to answer [→ CG] (99)	

CE How did you hear about the voter display process? <i>[Do not read out options. Check all that apply]</i>	Television (1)	Radio (2)	Newspaper / Journal (3)	CE
	Meeting / Workshop / Training (4)	Poster (5)	Election sub commission (6)	
	Other (7)	Don't know (98)	Refused to answer (99)	

CG Do you plan to check your voter list information? <i>[1 - Continue to CH 2 - Go to CK 98 or 99 - Go to CM]</i>	Yes (1)	No [→ CK] (2)	CG
	Don't know [→ CM] (98)	Refused to answer [→ CM] (99)	

CH Why are you planning to check your information on the list? <i>[Do not read out options. Check all that apply]</i>	To make sure my information is correct (1)	I am not sure my name is on the list (2)	I need to add my name in the list here (3)	CH
	It is my responsibility as a citizen	To make sure I can vote (5)	Other _____ (6)	

2017 By-Elections Observation Report

(4)		
Don't know (98)	Refused to answer (99)	

CJ How do you plan to verify your information?
[Go to question CM]

At the display
location
[→ CM]
(1)

Online
[→ CM]
(2)

CJ

Don't know
[→ CM]
(98)

Refused to
answer
[→ CM]
(99)

CK Why are you not
planning to check
your information on
the list?
[Do not read out
options. Check all
that apply]

My name was on
the list in the
2015 election
(1)

I am not
interested in
voting
(4)

Display location
is too far
(7)

Refused to
answer
(99)

I have updated
during the door
to door
(2)

I don't have the
required
documents
(5)

Other

(8)

I am a temporary
resident
(3)

Don't know where
to check
(6)

Don't know
(98)

CK

CM Are you planning to verify someone else's
information on the voter list?

Yes
(1)
Don't know
(98)

No
(2)
Refused to
answer
(99)

CM

CN Do you know where to check your information
on the voter list?
[1 - Continue to CP
2 or 99 - Go to DA]

Yes
(1)

No
[→ DA]
(2)

Refused to
answer
[→ DA]
(99)

CN

[Give the voter the pamphlet with information on the display.]

CP How much time would it take you to travel to
the voter list display location? [Please use
English numbers. Add a zero if one of the
columns does not apply (e.g. 2 hours would be
entered as 0 days, 2 hours and 0 minutes)]

Days	Hours	Minutes
Don't know (98)	Refused to answer (99)	

CP

Experience with the Registration Process

DA	Are you on the voter list? [1 - Continue to DB 2 - Go to DK 98 or 99 - Go to DM]	Yes (1)	No [→ DK] (2)	DA
		Don't know [→ DM] (98)	Refused to answer [→ DM] (99)	

DB	How did your name get on the list? [Read options.]	It was automatic (1)	Updated during Door to Door (2)	Someone else did added me to the list (3)	DB
		Don't know (98)	Refused to answer (99)		

DF	Is your name on the list in this location or somewhere else? [1, 98 or 99 - Go to DM 2 - Continue to DG]	This location [→ DM] (1)	Somewhere else (2)	DF
		Don't know [→ DM] (98)	Refused to answer [→ DM] (99)	

DG	Please indicate where (state/region and township).	State/Region	Township	D G
		Don't know (98)	Refused to answer (99)	

DH	Why is your name on the list in another location? [Read the options.] [Go to DM after responding the question]	I moved but didn't update the list [→ DM] (1)	I'm here temporarily for work [→ DM] (2)	I'm here temporarily for school [→ DM] (3)	DH
		Other _____ [→ DM] (4)	Don't know [→ DM] (98)	Refused to answer [→ DM] (99)	

DK	Why is your information not on the voter list? [Do not read the options.]	I am not eligible (1)	I moved recently (2)	I am a temporary resident (3)	DK
		The voter list is incorrect / wrong (4)	I don't have an ID Card (5)	I don't have a proof of residence (6)	

2017 By-Elections Observation Report

	<div>Other <div></div><div>(7)</div></div>	<div>Don't know <div></div><div>(98)</div></div>	<div>Refused to answer <div></div><div>(99)</div></div>		
DM	Did you check your name in the voter list before the 2015 elections?	<div>Yes <div></div><div>(1)</div></div> <div>Don't remember <div></div><div>(3)</div></div>	<div>No <div></div><div>(2)</div></div> <div>Refused to answer <div></div><div>(99)</div></div>	DM	
<div>National Registration Card Information</div> <div><i>[If you can see the NRC, copy the information. Otherwise, ask the Respondent to provide the information based on what appears on their NRC.]</i></div>					
<div>Now, to assess if your information is correct on the voter list, I would like to gather your information as it appears in your National Registration Card.</div>					
EA	Can I gather some information about your National Registration Card?? <div>[Do not read options] [1 or 3 - Continue to EB 2, 4 or 99 - Go to EP]</div>	<div>Yes [Ask to copy information from NRC if possible] <div></div><div>(1)</div></div> <div>I am not comfortable to share [→ EP] <div></div><div>(4)</div></div>	<div>I do not have an NRC [→ EP] <div></div><div>(2)</div></div> <div>Refused to answer [Do not read] [→ EP] <div></div><div>(99)</div></div>	<div>I have an NRC, but not here <div></div><div>(3)</div></div>	EA
EB	National Registration Card (NRC) number	<div></div>			EB
		<div>Missing <div></div><div>(1)</div></div>	<div>Unreadable <div></div><div>(2)</div></div>	<div>Refused to answer <div></div><div>(99)</div></div>	
EC	Name	<div></div>			EC
		<div>Missing <div></div><div>(1)</div></div>	<div>Unreadable <div></div><div>(2)</div></div>	<div>Refused to answer <div></div><div>(99)</div></div>	
EG	Date of birth <i>[Leave blank information not on the NRC. Please use English numbers.]</i>	<div>Day <div></div><div></div></div> <div>Missing <div></div><div>(1)</div></div>	<div>Month <div></div><div></div></div> <div>Unreadable <div></div><div>(2)</div></div>	<div>Year <div></div><div></div><div></div><div></div></div> <div>Refused to answer <div></div><div>(99)</div></div>	EG

People's Alliance For Credible Elections

EH Father's name

EH

Missing
(1)

Unreadable
(2)

Refused to answer
(99)

EJ [Do not read question. Answer based on how you obtained the information for questions EB to EH]
Did you get this information directly from the NRC or verbally from the respondent?

Copied
from NRC
(1)

From
respondent
(2)

EJ

Additional Household Information

EP Has any member of your household died since November 2015?
[1 - Continue to EQ
2 or 99 - Go to FA]

Yes
(1)

No
[→ FA]
(2)

Refused to
answer
[→ FA]
(99)

EP

EQ Have you removed them from the voter list?

Yes
(1)

Don't
know
(98)

No
(2)

Refused to
answer
(99)

EN

Conduct of the Elections

FA
In this ward/village, have you seen any of the following actors conducting voter education? [Read options. Check all that apply.]

Political Parties
(1)

Religious
Leaders
(4)

Don't know
[DO NOT READ]
(98)

Local
Subcommission
(2)

Other:

(5)

Refused to answer
[DO NOT READ]
(99)

CSO's
(3)

None
(6)

FA

FB
What are your biggest concerns for the upcoming by-elections? [Do not read options. Check all that apply.]

Insecurity /
violence
(1)

Advanced
voting
(4)

Voter bribery

Fraud
(2)

Election day
management
(5)

Voters unsure

Voter list
(3)

Voter
intimidation
(6)

Campaign

2017 By-Elections Observation Report

(7)	how to vote (8)	problems (9)
The election will be canceled in my area (10)	My polling station will be far away (11)	No, I don't have any concern (12)
Other <hr/> (13)	Don't know (98)	Refused to answer (99)

Domestic observers

GA	In many countries, independent domestic groups observe elections. Have you heard about any independent domestic election groups observing the 2017 by-elections? [1 - Continue to GB 2, 98 or 99 - Go to GD]	Yes (1)	No [→ GD] (2)	GA
		Don't know [→ GD] (98)	Refused to answer [→ GD] (99)	

GB	Do you think that the involvement of domestic election observers helps guarantee transparent elections? [Read options]	Very helpful (1)	Can help a little (2)	Doubt they can help (3)	GB
		No use at all (4)	Don't know [DO NOT READ] (98)	Refused to answer [DO NOT READ] (99)	

GC	Did you know that PACE observed the 2015 national elections?	Yes (1)	No (2)	Refused to answer (99)	GC

Vote intention

GD	For the 2017 by-elections, some people are planning to vote and some people are not for various reasons. Can you please tell me on a scale of 1 to 5, where 1 is definitely will not vote and 5 is will definitely vote, how likely you are to vote? [Show card]	(1)	(2)	(3)	(4)	(5)	Refused to answer [DO NOT READ] (99)	GD

Media and news consumption

HA How do you usually receive information about government and politics? <i>[Do not read options. Select all that apply.]</i>	Newspaper/Journals (1)	Television (2)	Radio (3)	DB
	Online (4)	Facebook (5)	SMS (6)	
	Local authority (7)	Community Leader (8)	Religious Leader (9)	
	Political Leader (10)	Friends and Neighbors (11)	Family Member (12)	
	Other 1 _____ (13)	Other 2 _____ (14)	Refused to answer <i>[Do not read]</i> (99)	

HB Which television channel do you turn to most often for news about government and politics? <i>[Do not read options. Select only one.]</i>	MRTV (1)	Myawaddy (2)	MRTV 4 (3)	HB
	Channel 7 (4)	DVB (5)	Sky Net (6)	
	Other _____ (7)	I don't watch television (8)	Refused to answer (99)	

HC Which newspaper or journal do you turn to most often for news about government and politics? <i>[Do not read options. Select only one.]</i>	The New Light of Myanmar (1)	7 days Journal (2)	Kyemon (3)	HC
	Eleven Daily/Journals (4)	Democracy Today Journal (5)	Other _____ (6)	
	I don't read newspapers or journals (7)	Refused to answer (99)		

HD Which radio station do you turn to most often for news about government and politics? <i>[Do not read options. Select only one.]</i>	Myanmar National Radio (1)	Shwe FM (2)	BBC (3)	HD
	Mandalay FM (4)	Cherry FM (5)	VOA (6)	
	Other ----- (7)	I don't listen to the radio (8)	Refused to answer (99)	

2017 By-Elections Observation Report

HE	Which source on the internet do you turn to most often for news about government and politics? [Do not read options. Select only one.]	Facebook (1)	Irrawaddy (2)	Mizzima (3)	HE
		Kamayaut (4)	BBC (5)	VOA (6)	
		Other _____ (7)	I don't use internet (8)	Refused to answer (99)	

HF	Which of the following statements best describes you? [Read options]	Most of my close friends share my views on government and politics (1)	Some of my close friends share my views, but many do not (2)	HF
		I don't really know what most of my close friends think about government and politics (3)	Refused to answer (99)	

HJ	Do you have one or more of the following? [Read options. Select all that apply.]	Feature phone (1)	Smartphone (2)	Access to the Internet at home (3)	HJ
		Access to the internet in your community (e.g. at work or library) (4)	None of the above (5)	Don't know (98)	
		Refused to answer (99)			

Demographic questions

Before we end this interview, I want to ask a few questions about your own background. This will help us to make sure that the data we have is representative.

JA	What is the highest level of education you have completed? [Code from answer. Do not read options]	Never went to school (1)	Informal school (2)	Some primary school (3)	JA
		Primary school completed (4)	Middle school completed (5)	High school completed (6)	
		Vocational school (7)	University completed (8)	Post-graduate (9)	
		Don't know [Do not read] (98)	Refused to answer [Do not read] (99)		

People's Alliance For Credible Elections

JB What is your current occupation?
[Code from answer.
Do not read options]

Unemployed (1)
Plantation worker (4)
Fisherman (7)
School / university teacher (10)
Farmer (13)
Trader (16)
Other _____ (19)

Self-employed (2)
Factory worker (5)
Domestic worker (8)
Student (11)
Professional (ex. Lawyer, doctor) (14)
Civil servant (17)
Don't know [Do not read] (98)

Retired (3)
Restaurant / Tea house staff (6)
Other unskilled staff (9)
Housewife (12)
Employed in private sector / NGO (15)
Military / police (18)
Refused to answer [Do not read] (99)

JB

JC What is your marital status?
[Do not read options]

Married (1)
Widowed (4)

Single (2)
Refused to answer [Do not read] (99)

Divorced (3)

JC

JD What ethnic group or groups do you belong to? [DO NOT READ OUT. Select all that apply]

Kachin (1)
Chin (4)
Rakhine (7)
Refused to answer (99)

Kayah (2)
Burma (5)
Shan (8)

Karen (3)
Mon (6)
Other: _____ (9)

JD

JE What is your religion?
[DO NOT READ OUT]

Buddhist (1)
Muslim (4)

Christian (2)
Other: _____ (5)

Hindu (3)
Refused to answer (99)

JE

2017 By-Elections Observation Report

JF	Here is a list of family monthly income categories. Which category comes closer to representing the total income of your household? [READ OUT OPTIONS]	Under 50,000 Ks (1)	50,000 Ks – 100,000 Ks (2)	100,000 Ks – 200,000 Ks (3)	JF
		200,000 Ks – 300,000 Ks (4)	300,000 Ks – 400,000 Ks (5)	Over 400,000 Ks (6)	
		Do not know [Do not read] (98)	Refused to answer [Do not read] (99)		

Phone number for follow up

JG	Would you like to share your phone number so that we can call you back or text you to let you know if we find a problem with your registration? [If response 2 selected, go to question KA]	Yes (1)	No [→ END] (2)	JG

JH	[If Yes on JG] Phone number		JH
-----------	-----------------------------	--	-----------

[Make sure you leave behind the pamphlet with information about the display process]

That completes the interview. Thank you for taking the time to participate in this survey.

END THE INTERVIEW

INTERVIEWER ONLY

All subsequent questions should be answered immediately after the interview is concluded. Do NOT ask the respondent the questions below. Complete on your own.

KA	Time when the interview ended [Interviewer: Enter hour and minutes, use 24 hr. clock and be exact. Please use English numbers.]	Hour		Minute		KA
KB	Were there any other people immediately present who might be listening during the interview? [Select all that apply]	No one (1)	Spouse (2)	Children (3)	KB	
		A few others (4)	Small crowd (5)	Do not know (6)		
KC	Did the respondent check with others for information to answer any question?	Yes (1)	No (2)	KC		
KD	Do you think anyone influenced the respondent's answers during the interview?	Yes (1)	No (2)	KD		
KE	Were you approached by community and/or government agents?	Yes (1)	No (2)	KE		

KF

Did you feel intimidated during the interview?

Yes
(1)

No
(2)

KF

KG

Were you physically threatened during the interview?

Yes
(1)

No
(2)

KG

Please make sure that every single question has an answer circled.

SIGNATURE PAGE

Interviewer: Do you have any other comments on the interview? For an example, did anything else significant happen during the interview?

Yes, <i>explain</i> :	1
No	2

I hereby certify that this interview was conducted in accordance with instructions received during training. All responses recorded here are those of the respondent who was chosen by the appropriate selection method.

Enumerator Signature:

2017 By-Elections Observation Report

PACE Household Spot Check Form

To be completed by PACE Office:

Form Number						

Data Clerk No.	

To be completed by spot-checkers

[Please answer questions A-G, but do not report over the phone]

A	Spot Checker Name			E	State/Region		
B	Enumerator ID			F	Township		
D	Location type	Urban (1)	Rural (2)	G	Ward/ Village tract		
				H	Village		

[Please begin your phone report with question H]

J PACE Location ID

--	--	--	--	--	--

J

K Respondent Number (1-10) |

--	--	--	--	--	--

K

M Spot Checker ID

--	--	--

M

XA Date of spot check

Day	

Month	

XA
[Enter day and month. Here is an example how to enter in. If the interview is on 5 February 2017, then you enter 05 in day and 02 in month]

Information from Enumerator Form

XB Interval of selected household

--

XB

XC Exact address/description of selected household

--

XC

Step 1 - Household Selection Assessment

Go to your assigned ward/ village and find the given landmark used as a starting point.

YA Was the starting point selected according to the procedure described in your manual?

Yes
(1)

No [please explain]
(2)

YA

Begin walking in the same direction chosen by the enumerator and start counting houses/ residences using intervals (10 for rural village or 20 for urban ward) according to the procedures in your manual. Continue counting until you reach the selected household address

People's Alliance For Credible Elections

YB What was the interval (count number) of the household from the starting point? **YB**

YC Does this interval match the number recorded by the enumerator?

Yes (1)	No [please explain] (2)
----------------	-----------------------------------

YC

YD According to your assessment, was the household selected according to the exact procedures described in your manual?

Yes (1)	No [please explain] (2)	Cannot determine [please explain] (3)
----------------	-----------------------------------	---

YD

*Approach the household. If you are able to speak with someone in the household, go to Step 2.
If you are unable to speak with anybody in the household during your time in the village record the reason in the table below.*

Household Visits	V1	V2
Completed interview	1	1
Refused to be interviewed	2	2
Person selected was never at home	3	3
Household/ premises empty	4	4
Not a citizen/ spoke only a foreign language	5	5
Deaf/ did not speak a survey language	6	6
No adults in household	7	7
Other (<i>specify</i>) _____	8	8
Not applicable	89	89

Step 2 - Ask to conduct the interview

When you find a household with someone home, please introduce yourself using the following script. You must learn this introduction so that you can say it exactly as it is written below.

Good day. My name is _____. I am from the People's Alliance for Credible Elections (PACE), a non-partisan civil society organization. I do not represent the government or any political party. We are doing a survey of people's experience with the voter registration process and the voter list. A couple days ago, our enumerator deployed to collect and interview a random adult in this village/ ward. Every person in the country has an equal chance of being included in this study. I am doing a quality control check on the performance of our enumerator. This survey should take about 10-15 minutes to complete. May I begin the interview now?

The person must give his or her informed consent by answering positively. If participation is refused, walk away from the household and record this in the above table on "Household Visits". Continue to your next selected assigned household/respondent.

2017 By-Elections Observation Report

Step 3 - Conduct the interview

ZA	Do you know whether your household was included in the PACE survey conducted in January? [Do not read choices] [1 - Continue to ZE 2, 3 or 4 - End the interview]	Yes (1)	No [→ END] (2)	ZA
		Don't know [→ END] (98)	Refused to answer [→ END] (99)	
ZB	Were you present at the previous PACE interview? [1 - Continue to ZC 2 or 99 - Go to ZD]	Yes (1)	No [→ ZD] (2)	ZB
			Refused to answer [→ ZD] (99)	
ZC	Were you the respondent for the previous PACE interview? [1 - Go to ZE 2 - Continue to ZD 99 - End the interview]	Yes [→ ZE] (1)	No (2)	ZC
			Refused to answer [→ END] (99)	
ZD	Is the respondent who PACE's enumerator interviewed presently available? [1 - Continue to ZE 2 - Go to ZG 98 or 99 - End the interview]	Yes (1)	No [→ ZG] (2)	ZD
		Don't know [→ END] (98)	Refused to answer [→ END] (99)	
ZE	Name of the respondent we interviewed last time [Name - Continue to ZF 98 or 99 - End the interview]			ZE
		Don't know [→ END] (98)	Refused to answer [→ END] (99)	
ZF	May I please interview [insert name] _____ now? [1 - Go to ZH 2 - Continue to ZG 99 - End the interview]	Yes [→ ZH] (1)	No (2)	ZF
			Refused to answer [→ END] (99)	
ZG	What is the main reason that the respondent to the PACE interview is not available?	He/she is away from the household presently [→ END] (1)	He/she is at work [→ END] (2)	ZG
			He/she is ill and cannot respond [→ END] (3)	

People's Alliance For Credible Elections

<p><i>[Do not read choices. End the interview after responding the question.]</i></p>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Other <hr style="width: 50%; margin: 0 auto;"/> [→ END] (4) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Refused to answer [→ END] (99) </div>		
<p>ZH How were you selected as the respondent from your household <i>[Do not read choices.]</i></p>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> The interviewer asked someone to pick a card to select me (1) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> I am the head of household (4) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> I am the oldest / youngest in the household (7) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Refused to answer (99) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> I was the one that met the interviewer in the first place (2) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> I am the only male/female in the household (5) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Other <hr style="width: 50%; margin: 0 auto;"/> (8) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> I was the only one who was available at the time (3) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> I am pretty / handsome / attractive (6) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> My name was chosen, but I don't know how (98) </div>	<p>ZH</p>
<p>ZJ Did the PACE interviewer leave information on when and where the voter list display process will happen?</p>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Yes (1) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Don't know (98) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> No (2) </div> <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Refused to answer (99) </div>	<p>ZJ</p>	
<p>ZK Were you made aware (or informed) by any means that PACE quality control staff would be coming to your house to do quality checks?</p>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Yes (1) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> No (2) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Refused to answer (3) </div>	<p>ZK</p>
<p>ZM In your opinion, did the enumerator display any bias or preference to any political party during the interview?</p>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Yes [explain] (1) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> No (2) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Refused to answer (3) </div>	<p>ZM</p>
<p>ZN Did you feel threatened during and / or after the interview?</p>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Yes [explain] (1) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> No (2) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Refused to answer (99) </div>	<p>ZN</p>
<p>ZP Do you have any other comment on the interview? For example, did anything else significant happen during or after the interview?</p>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Yes [explain] (1) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> No (2) </div>	<div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;"> Refused to answer (99) </div>	<p>ZP</p>
<p>The survey is now over. Thank you very much for your assistance. We really appreciate your time.</p>				

Appendix-4

People’s Alliance for Credible Elections

Voter List Update Monitoring

State/Region		Observer Name	
Township		Observer Phone Number	
Ward/Village Tract		Time arrived at location	
Display Location		Address of display location	

A

PACE Observer Number

A

B

Date of observation

Day	Month

B

C

Was the observation on a weekday or weekend?

Weekday (1)	Weekend (2)
----------------	----------------

C

OUTSIDE THE VOTER LIST DISPLAY LOCATION (Answer questions as you approach the display location)

D

In this ward/village, did you see any voter education materials? (Check all that apply)

None (1)	Pamphlets (2)	Posters (3)
Training/Meeting (4)	Loudspeaker (5)	Other: _____ (6)

D

E

In this ward/village, did you see any of the following actors conducting voter education? (Check all that apply)

None (1)	Political Parties (2)	Local Sub commission (3)
CSOs (4)	Religious Leaders (5)	Other: _____ (6)

E

QUESTIONS TO ASK THE DISPLAY OFFICIAL THE FIRST DAY YOU CAN OBSERVE

F

On which date did the display process start?

Day	Month

F

If before or after February 1, please explain the reason

G

Total number of voters included in the voter list at this location (Use English numbers)

G

SETUP OF THE DISPLAY LOCATION (Answer questions upon arriving at the display location. Submit a Critical Incident Form for options marked with a flag ⓘ ⓘ)

H

Is the display update process open today?

Yes (1)	No <i>B</i> (2)
------------	--------------------

H

2017 By-Elections Observation Report

J	Which materials, if any, were missing from the display location? <i>(Check all that apply)</i>	<table><tr><td>None missing (1)</td><td>Voter List <i>R</i> (2)</td><td>Form 3 (Addition) <i>R</i> (3)</td></tr><tr><td>Form 3-A (Addition for Temp. Stay) <i>R</i> (4)</td><td>Form 4 (Objection) <i>R</i> (5)</td><td>Form 4-A (Change Constituency) <i>R</i> (6)</td></tr></table>	None missing (1)	Voter List <i>R</i> (2)	Form 3 (Addition) <i>R</i> (3)	Form 3-A (Addition for Temp. Stay) <i>R</i> (4)	Form 4 (Objection) <i>R</i> (5)	Form 4-A (Change Constituency) <i>R</i> (6)	J
None missing (1)	Voter List <i>R</i> (2)	Form 3 (Addition) <i>R</i> (3)							
Form 3-A (Addition for Temp. Stay) <i>R</i> (4)	Form 4 (Objection) <i>R</i> (5)	Form 4-A (Change Constituency) <i>R</i> (6)							
		<table><tr><td>Form 4-B (Confirm Removal) <i>R</i> (7)</td><td>Form 4-C (Detail Correction) <i>R</i> (8)</td><td></td></tr></table>	Form 4-B (Confirm Removal) <i>R</i> (7)	Form 4-C (Detail Correction) <i>R</i> (8)					
Form 4-B (Confirm Removal) <i>R</i> (7)	Form 4-C (Detail Correction) <i>R</i> (8)								
K	Was the voter list displayed so that all voters, including elderly and disabled voters, could check their name?	<table><tr><td>Yes (1)</td><td>No (2)</td></tr></table>	Yes (1)	No (2)	K				
Yes (1)	No (2)								
VOTER LIST UPDATE PROCESS <i>(Review these questions throughout the day and answer them when you finish your observation)</i> <i>[If a critical incident occurs while you are observing, immediately complete a critical incident report and contact PACE]</i>									
M	How many display officials were at the display location? <i>(If none, write 0)</i>	<div></div>	M						
N	Did display officials provide equal assistance to all voters?	<table><tr><td>Yes (1)</td><td>No (2)</td><td>No officials (3)</td></tr></table>	Yes (1)	No (2)	No officials (3)	N			
Yes (1)	No (2)	No officials (3)							
P	Was there any intimidation of voters who came to check their name or make changes to the list?	<table><tr><td>Yes <i>R</i> (1)</td><td>No (2)</td></tr></table>	Yes <i>R</i> (1)	No (2)	P				
Yes <i>R</i> (1)	No (2)								
Q	Was any unauthorized person interfering with the voter list display or update process?	<table><tr><td>Yes <i>R</i> (1)</td><td>No (2)</td></tr></table>	Yes <i>R</i> (1)	No (2)	Q				
Yes <i>R</i> (1)	No (2)								
R	Which political party or candidate representatives were present? <i>(check and list all that apply)</i>	<table><tr><td>None (1)</td><td>USDP (2)</td><td>NLD (3)</td></tr><tr><td>Other Burman Party _____ (4)</td><td>Other Ethnic Party _____ (5)</td><td>Independent Candidates _____ (6)</td></tr></table>	None (1)	USDP (2)	NLD (3)	Other Burman Party _____ (4)	Other Ethnic Party _____ (5)	Independent Candidates _____ (6)	R
None (1)	USDP (2)	NLD (3)							
Other Burman Party _____ (4)	Other Ethnic Party _____ (5)	Independent Candidates _____ (6)							
S	Were any other CSO volunteers present?	<table><tr><td>Yes (1)</td><td>No (2)</td></tr></table>	Yes (1)	No (2)	S				
Yes (1)	No (2)								
T	How many voters tried to make changes to the voter list?	<table><tr><td>None (1)</td><td>Few (1 to 10) (2)</td></tr><tr><td>Some (11 to 50) (3)</td><td>Many (51 or more) (4)</td></tr></table>	None (1)	Few (1 to 10) (2)	Some (11 to 50) (3)	Many (51 or more) (4)	T		
None (1)	Few (1 to 10) (2)								
Some (11 to 50) (3)	Many (51 or more) (4)								
U	How many voters' requests were accepted by display officials without using the needed forms or supporting documents?	<table><tr><td>None (1)</td><td>Few (1 to 10) (2)</td></tr><tr><td>Some (11 to 50) (3)</td><td>Many (51 or more) (4)</td></tr></table>	None (1)	Few (1 to 10) (2)	Some (11 to 50) (3)	Many (51 or more) (4)	U		
None (1)	Few (1 to 10) (2)								
Some (11 to 50) (3)	Many (51 or more) (4)								
V	How many voters were unable to request changes because they did not have supporting documentation (identification, residency)?	<table><tr><td>None (1)</td><td>Few (1 to 10) (2)</td></tr><tr><td>Some (11 to 50) (3)</td><td>Many (51 or more) (4)</td></tr></table>	None (1)	Few (1 to 10) (2)	Some (11 to 50) (3)	Many (51 or more) (4)	V		
None (1)	Few (1 to 10) (2)								
Some (11 to 50) (3)	Many (51 or more) (4)								
W	Using your best estimate, approximately how many voters came to check their name today?	<table><tr><td>0-20 (1)</td><td>21-50 (2)</td></tr><tr><td>51-100 (3)</td><td>More than 100 (4)</td></tr></table>	0-20 (1)	21-50 (2)	51-100 (3)	More than 100 (4)	W		
0-20 (1)	21-50 (2)								
51-100 (3)	More than 100 (4)								

People’s Alliance For Credible Elections

X	At what time did the update process start today? [Enter hour and minutes, use 24 hr. clock and be exact.]	<table><tr><td colspan="2">Hour</td></tr><tr><td></td><td></td></tr></table>	Hour				<table><tr><td colspan="2">Minute</td></tr><tr><td></td><td></td></tr></table>	Minute				X
Hour												
Minute												
Y	At what time did the update process end today? [Enter hour and minutes, use 24 hr. clock and be exact.]	<table><tr><td colspan="2">Hour</td></tr><tr><td></td><td></td></tr></table>	Hour				<table><tr><td colspan="2">Minute</td></tr><tr><td></td><td></td></tr></table>	Minute				Y
Hour												
Minute												
Z	At all times were you permitted to observe?	<table><tr><td>Yes (1)</td><td>No <i>h</i> (2)</td></tr></table>		Yes (1)	No <i>h</i> (2)	Z						
Yes (1)	No <i>h</i> (2)											

NUMBER OF FORMS SUBMITTED TODAY BY VOTERS IN THIS DISPLAY LOCATION
(Write the official numbers provided by the Display Official. Use English numbers.)

AC	Form 3 (Addition)	<div></div>	AC
AD	Form 3-A (Addition for Temporary Stay)	<div></div>	AD
AE	Form 4 (Objection)	<div></div>	AE
AF	Form 4-A (Change Constituency)	<div></div>	AF
AG	Form 4-C (Detail Correction)	<div></div>	AG

2017 By-Elections Observation Report

People’s Alliance for Credible Elections
Voter List Update Final Data Collection Form

State/Region		Observer Name	
Township		Observer Phone Number	
Ward/Village Tract		Time arrived at location	
Display Location		Address of display location	

A

PACE Observer Number

A

B

Date of observation

B

Data from Display Location Official
Please ask Display Official for official information to complete the questions below.
For number of submitted/accepted/rejected forms, write official numbers for the whole display period. Use English numbers.

C

When did the voter list update process end?

C

If earlier or later than February 14, please explain the reason

Form 3 - Addition

D

Number of Form 3 forms **submitted** by voters

D

E

Number of **approved** Form 3 forms

E

F

Number of **rejected** Form 3 forms

F

Form 3-A - Addition of Temporary Stay

G

Number of Form 3-A forms **submitted** by voters

G

H

Number of **approved** Form 3-A forms

H

J

Number of **rejected** Form 3-A forms

J

Form 4 - Objection

K	Number of Form 4 forms submitted by voters		K
M	Number of approved Form 4 forms		M
N	Number of rejected Form 4 forms		N
Form 4-A - Change Constituency			
P	Number of Form 4-A forms submitted by voters		P
Q	Number of approved Form 4-A forms		Q
R	Number of rejected Form 4-A forms		R
Form 4-C - Detail Correction			
S	Number of Form 4-C forms submitted by voters		S
T	Number of approved Form 4-C forms		T
U	Number of rejected Form 4-C forms		U

2017 By-Elections Observation Report

People’s Alliance for Credible Elections
Critical Incident Form - Voter List Monitoring

State/Region		Observer Name	
Township		Observer Phone Number	
Ward/Village Tract		Time arrived at location	
Display Location		Address of display location	

A PACE Observer NumberA

B Date when the incident occurred

Day

Month

B

C Did you witness the incident?

I witnessed the incident (1)	I arrived just after the incident happened (2)	The incident was reported to me by someone else (3)
---------------------------------	---	--

C

D Where did the incident occur? *[full address]*D

E Type of incident *[choose all that apply]*

PACE observer denied access (1)	Violence (inter-communal) (2)	Violence (between parties) (3)
Violence (between EAG and government) (4)	Intimidation / threats (5)	No materials at display location (6)
Display process not open / stopped / inaccessible (7)	Unauthorized person influencing process (8)	Other: (9)

E

F Who caused the incident? *[choose all that apply]*

Voter (1)	Display official (2)	Party member / agent (Party: _____) (3)
Local authority (4)	Security force (5)	Religious leader (6)
	Other: _____ (7)	

F

G Who was impacted by the incident? *[choose all that apply]*

Voter (1)	Display official (2)	Party member / agent (Party: _____) (3)
	Other: _____ (4)	

G

H	What type of people were impacted? <i>[choose all that apply if multiple people were impacted]</i>	<table><tr><td>Men (1)</td><td>Women (2)</td></tr><tr><td>Bamar (3)</td><td>Other ethnicity (4)</td></tr><tr><td>Muslims (5)</td><td>Christians (6)</td></tr><tr><td>Hindus (7)</td><td>Animists (8)</td></tr><tr><td>Persons with disabilities (9)</td><td>Other: _____ (10)</td></tr></table>	Men (1)	Women (2)	Bamar (3)	Other ethnicity (4)	Muslims (5)	Christians (6)	Hindus (7)	Animists (8)	Persons with disabilities (9)	Other: _____ (10)	H
Men (1)	Women (2)												
Bamar (3)	Other ethnicity (4)												
Muslims (5)	Christians (6)												
Hindus (7)	Animists (8)												
Persons with disabilities (9)	Other: _____ (10)												
J	In your opinion, how many voters were affected by this incident?	<table><tr><td>None (1)</td><td>Few (1-10) (2)</td><td>Some (11-50) (3)</td></tr><tr><td>Many (51 or more) (4)</td><td>Unknown (5)</td><td></td></tr></table>	None (1)	Few (1-10) (2)	Some (11-50) (3)	Many (51 or more) (4)	Unknown (5)		J				
None (1)	Few (1-10) (2)	Some (11-50) (3)											
Many (51 or more) (4)	Unknown (5)												
K	Detailed explanation: Please provide a brief description of the concrete facts regarding each incident, including who participated (their position and affiliation), what happened (type of incident), how did it happen, and the sequence of events. Indicate other individuals, such as other observers or party agents, who also witnessed the incident (and their contact information if possible). Use other side of the form if necessary.		K										

Appendix-5

People’s Alliance for Credible Elections
In-Constituency Advanced Voting
Mobile Voting

MA. Observer PACE ID		MD. State/Region	
MB. Observer Name		ME. Township	
MC. Observer Phone Number		MF. Ward/Village Tract	

Please go to the Village Tract/Ward sub-commission office to observed advanced voting. Please note, advance vote might take place in the sub-commission office or a mobile team might take the ballot box to where the voters are. Please complete the below form as a summary for one day that you observe.

MG	Were you permitted to accompany the mobile vote box? [If NO, complete an incident report and report immediately.]	Yes (1)	No (2) <i>h</i>	MG	
MH	Location type	Ward/Urban (1)	Village Tract / Rural (2)	MH	
MJ	Observation date	Day 	Month 	MJ	
MK	Time you started your mobile observation (use 24-hour format)	Hour 	Minutes 	MK	
MP	Where did you observe the <u>mobile</u> in-constituency advance voting process? [Select all that apply]	Voters’ Homes (1)	Institution (hospital, school, eldercare facility, etc.) (2)	Government facility (civil service office, barracks) (3)	MP
		Prison (4)	Other: _____ (5)		
MQ	Who did you observe vote in advance? [Check all that apply.]	Sick/infirm/hospitalized (1)	Elderly/ disabled (2)	Imprisoned people (3)	MQ
		Election officials (4)	Civil Servants (5)	Military (6)	
		Other _____ (7)	Don’t know (98)		

2017 By-Elections Observation Report

MR	Were citizens able to vote in secret?	Yes (1)	No <i>R</i> (2)	MR				
MS	Was the information of the people who mobile added to Form 13?	Yes (1)	No (2)	MS				
MT	Did you witness any problems in advance voting? [Select all that apply]	No problems (1)	People forced to vote in advance <i>R</i> (2)	MT				
		Interference in the process <i>R</i> (4)	Impersonation / voting for other <i>R</i> (5)		Ballots not secure <i>R</i> (6)			
		Other <i>R</i> _____ (7)						
MU	If you observed any problems, overall how serious were they?	No problems (1)	Minor problems (2)	Serious problems <i>R</i> (3)	MU			
MV	Were party or candidate agents present to observe the process?	No agents present (1)	USDP (2)	NLD (3)	MV			
		Other Party 1 _____ (4)	Other Party 2 _____ (5)	Independent _____ (6)				
MW	Time you finished your observation at this location (use 24-hour format)	<table><tr><td>Hour</td><td>Minutes</td></tr><tr><td> </td><td> </td></tr></table>		Hour	Minutes			MW
Hour	Minutes							
MZ	Were you allowed to observe the whole time?	Yes (1)	Yes, with some restrictions (2)	No <i>R</i> (3)	MZ			

People’s Alliance for Credible Elections
In-Constituency Advanced Voting
Stationary at Sub commission Office

A. Observer PACE ID		D. State/Region	
B. Observer Name		E. Township	
C. Observer Phone Number		F. Ward/Village Tract	

Please go to the Village Tract/Ward sub-commission office to observed advanced voting. Please note, advance vote might take place in the sub-commission office or a mobile team might take the ballot box to where the voters are. Please complete the below form as a summary for one day that you observe.

QUESTIONS TO COMPLETE THE FIRST DAY YOU CAN OBSERVE

G	On which date did you start observing the in-constituency advanced voting?	<table><tr><td>Day</td><td>Month</td></tr><tr><td></td><td></td></tr></table>	Day	Month			G
Day	Month						
H	On which date did the in-constituency advanced voting process begin in this location?	<table><tr><td>Day</td><td>Month</td></tr><tr><td></td><td></td></tr></table>	Day	Month			H
Day	Month						
J	Total number of people who voted before the day you started observing (Use English numbers)	<table><tr><td></td></tr></table>		J			

QUESTIONS TO COMPLETE EVERY DAY YOU OBSERVE

K	Were you permitted to enter the advanced voting location? [If NO, complete an incident report and report immediately.]	<table><tr><td>Yes (1)</td><td>No (2) <i>R</i></td></tr></table>	Yes (1)	No (2) <i>R</i>	K		
Yes (1)	No (2) <i>R</i>						
M	Location type	<table><tr><td>Ward/Urban (1)</td><td>Village Tract / Rural (2)</td></tr></table>	Ward/Urban (1)	Village Tract / Rural (2)	M		
Ward/Urban (1)	Village Tract / Rural (2)						
N	Observation date	<table><tr><td>Day</td><td>Month</td></tr><tr><td></td><td></td></tr></table>	Day	Month			N
Day	Month						
P	Time you started your observation at this location (use 24-hour format)	<table><tr><td>Hour</td><td>Minutes</td></tr><tr><td></td><td></td></tr></table>	Hour	Minutes			P
Hour	Minutes						
Q	Were materials stored securely overnight?	<table><tr><td>Yes (1)</td><td>No (2)</td></tr></table>	Yes (1)	No (2)	Q		
Yes (1)	No (2)						

2017 By-Elections Observation Report

R	Who did you observe vote in advance? [Check all that apply.]	<div>Sick/infirm/hospitalized (1)</div>	<div>Elderly/ disabled (2)</div>	<div>Imprisoned people (3)</div>	R
		<div>Election officials (4)</div>	<div>Civil Servants (5)</div>	<div>Military (6)</div>	
		<div>Other _____ (7)</div>	<div>Don't know (98)</div>		
S	Were citizens able to vote in secret?		<div>Yes (1)</div>	<div>No <i>R</i> (2)</div>	S
T	Was the information of the people who voted at this location added to Form 13?		<div>Yes (1)</div>	<div>No (2)</div>	T
U	Did you witness any problems in advance voting? [Select all that apply]	<div>No problems (1)</div>	<div>People forced to vote in advance <i>R</i> (2)</div>	<div>Intimidation * (3)</div>	U
		<div>Interference in the process <i>R</i> (4)</div>	<div>Impersonation / voting for other <i>R</i> (5)</div>	<div>Ballots not secure <i>R</i> (6)</div>	
		<div>Other <i>R</i> _____ (7)</div>			
V	If you observed any problems, overall how serious were they?	<div>No problems (1)</div>	<div>Minor problems (2)</div>	<div>Serious problems <i>R</i> (3)</div>	V
W	Were party or candidate agents present to observe the process?	<div>No agents present (1)</div>	<div>USDP (2)</div>	<div>NLD (3)</div>	W
		<div>Other Party 1 _____ (4)</div>	<div>Other Party 2 _____ (5)</div>	<div>Independent _____ (6)</div>	
X	Time you finished your observation at this location (use 24-hour format)	<div>Hour</div>		<div>Minutes</div>	X
Y	Were you allowed to observe the whole time?	<div>Yes (1)</div>	<div>Yes, with some restrictions (2)</div>	<div>No <i>R</i> (3)</div>	Y

In-Constituency Advance Voting Critical Incident Form – 2017 by-Election

Observer PACE ID		State/Region	
Observer Name		Township	
		Ward/Village Tract	

If you witness or hear of a critical incident that meets the criteria outlined below immediately complete this form and call the PACE data center.

Documentation

A. Did you witness this incident yourself or did you hear about it from someone else?

- ☐ 1. I witnessed this critical incident myself.
- ☐ 2. I heard about this critical incident from someone else.

B. When did the incident occur (write four digits and circle AM or PM) AM / PM

		:		
--	--	---	--	--

C. Where did the incident occur? _____

Type of Incident

Select one or more critical incident codes below that describe the incident(s).

D. What was the type of incident? (check all that apply if multiple people):

- | | |
|--|---|
| <input type="checkbox"/> 1 Intimidation and/or harassment | <input type="checkbox"/> 6 Important materials missing |
| <input type="checkbox"/> 2 Violence | <input type="checkbox"/> 7 Chaos that affected the process |
| <input type="checkbox"/> 3 The Advance Voting process is stopped and postponed | <input type="checkbox"/> 8 Illegal voting on behalf of another person |
| <input type="checkbox"/> 4 Observer prevented from observing | <input type="checkbox"/> 9 Ballot box stuffing |
| <input type="checkbox"/> 5 Not Allowing People to cast the advance Vote | <input type="checkbox"/> 10 Violations of Voting Procedures |
| | <input type="checkbox"/> 11 Other _____ |

2017 By-Elections Observation Report

Description of Perpetrators and Victims

Answer the following questions to describe the perpetrators and victims of the critical incident(s) selected above.

E. What was the gender of the person(s) who caused the incident (*check all that apply if multiple people*):

- ☐ 1. Male ☐ 2. Female ☐ 3. Don't know

F. What was the ethnicity of the person(s) who caused the incident (*check all that apply if multiple people*):

- ☐ 1. Bamar ☐ 2. Other ethnicity ☐ 3. Don't know

G. Affiliation of perpetrator(s): (Check all that apply if multi people)

- ☐ 1. Election official ☐ 2. Security force ☐ 3. Local authority
☐ 4. Religious leader ☐ 5. Party member/agent (party: _____)
☐ 6. Other : _____ ☐ 7. Don't know

H. What was the gender of the victim(s): (*check all that apply if multiple people*):

- ☐ 1. Male ☐ 2. Female ☐ 3. Don't know

J. What was the ethnicity of the victim (s) (*check all that apply if multiple people*):

- ☐ 1. Bamar ☐ 2. Other ethnicity ☐ 3. Don't know

K. Affiliation of victim(s): (*check all that apply if multiple people*):

- ☐ 1. Election official ☐ 2. Voter ☐ 3. Religious leader
☐ 4. Party member/agent (party: _____) ☐ 5. Other : _____
_____ ☐ 6. Don't know

Description of Incident

Please provide a brief description of the facts regarding each incident, including **who** participated (their position and affiliation), **what** happened, **how** did it happen, and the sequence of events. Indicate other individuals, such as other observers or party agents, who also witnessed the incident (and their contact information if possible). Use other side of the form if necessary.

M.

Observer Name

Signature

Date

Appendix-6

PACE SBO Observation Form – April 2017 By-Elections

PACE Code:

Observer Name:

Supervisor Name/

Number:

Supervisor's Phone Number:

State/region:

Township:

Ward/Village Tract:

Polling station UEC number:

Polling Station Location:

1st Report: ARRIVAL Answer question and report upon arrival at the polling station at 5:00am.

AC Were you permitted to enter the polling station by 5:30am at the latest? (If NO, complete an incident report and report immediately)

Yes (1)	No (2) <i>R</i>
------------	--------------------

AC

2nd Report: SETUP Answer questions during setting up of the polling station and report at 6:00 am or when voting starts! If a critical incident occurs, immediately complete a critical incident form and contact PACE data center!

BD Are the polling station facilities accessible to all voters, including elderly and disabled?

Yes (1)	No (2)
------------	-----------

BD

BE Were at least 10 polling station members present?

Yes (1)	No (2)
------------	-----------

BE

BF Did the advance ballot box arrive before the polling station opened?

Yes (1)	No (2)
------------	-----------

BF

BG Was Form-13 (Advanced Voting) posted outside the polling station?

Yes (1)	No (2)
------------	-----------

BG

BH Which of the following items were missing, if any? (Tick all that apply)

None (1)	Ballot Boxes (2) <i>R</i>	Ballot Papers (3) <i>R</i>	Voter List (4) <i>R</i>
Stamp (5) <i>R</i>	Indelible Ink (6) <i>R</i>	Forms 16 and 17 (7) <i>R</i>	

BH

BJ At what time did voting begin?

Before 5:50 (1)	5:51 – 6:10 (2)	6:11 – 6:30 (3)	After 6:30 (4)	Never Opened (5) <i>R</i>
--------------------	--------------------	--------------------	-------------------	------------------------------

BJ

3rd Report: VOTING PROCESS Review questions throughout the day and report immediately when the polling station closes. If there are more than one race in your township, for questions CF-CJ please observe for the Pyithu Hluttaw process. If a critical incident occurs, immediately complete a critical incident form and contact PACE data center!

CA Which party or candidate agents were inside the polling station? (Tick all that apply)

None (1)	USDP (2)	NLD (3)	Other Burman Party (4)
Ethnic Party (5)	Independent (6)	Other (7)	

CA

CE Were any unauthorized persons inside the polling station? (Tick all that apply)

None (1)	Military (2)	Ethnic armed forces (3)
Special police (4)	Local authorities or village/ward head (5)	Religious Leaders (6)
Other (7)		

CE

CF Were voters asked to present proof of identity (for example, a voter slip or NRC card)?

Yes (1)	No (2)
------------	-----------

CF

CG How many people were turned away because they were not on the voter list?

None (1)	Few 1-10 (2)	Some 11-49 (3)	Many 50 + (4)
-------------	-----------------	-------------------	------------------

CG

CH How many people were on the voters list but not allowed to vote?

None (1)	Few 1-10 (2)	Some 11-49 (3)	Many 50 + (4)
-------------	-----------------	-------------------	------------------

CH

CJ How many people were NOT on the voters list but still allowed to vote?

None (1)	Few 1-10 (2)	Some 11-49 (3)	Many 50 + (4)
-------------	-----------------	-------------------	------------------

CJ

CK Were voters able to vote secretly?

Yes (1)	No (2) <i>R</i>
------------	--------------------

CK

2017 By-Elections Observation Report

PACE Code:

Township:

CM	Was there any intimidation and/or harassment inside or outside the polling station against any of the following (tick all that apply)? (If YES, complete an incident report immediately)	<table border="1"> <tr> <td>None (1)</td> <td>Men (2) <i>R</i></td> <td>Women (3) <i>R</i></td> </tr> <tr> <td>Ethnic minority (4) <i>R</i></td> <td>Religious minority (5) <i>R</i></td> <td>Other (6) <i>R</i></td> </tr> </table>	None (1)	Men (2) <i>R</i>	Women (3) <i>R</i>	Ethnic minority (4) <i>R</i>	Religious minority (5) <i>R</i>	Other (6) <i>R</i>	CM
None (1)	Men (2) <i>R</i>	Women (3) <i>R</i>							
Ethnic minority (4) <i>R</i>	Religious minority (5) <i>R</i>	Other (6) <i>R</i>							
CN	Were voters' fingers marked with ink as they left the station?	<table border="1"> <tr> <td>Yes (1)</td> <td>No <i>R</i> (2)</td> </tr> </table>	Yes (1)	No <i>R</i> (2)	CN				
Yes (1)	No <i>R</i> (2)								
CP	Are special election police present outside polling station?	<table border="1"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	CP				
Yes (1)	No (2)								
CQ	Was everyone in the queue at 4pm able to vote?	<table border="1"> <tr> <td>Yes (1)</td> <td>No <i>R</i> (2)</td> <td>No One in line (3)</td> </tr> </table>	Yes (1)	No <i>R</i> (2)	No One in line (3)	CQ			
Yes (1)	No <i>R</i> (2)	No One in line (3)							
CR	Were people who arrived <u>after</u> 4pm allowed to vote?	<table border="1"> <tr> <td>Yes <i>R</i> (1)</td> <td>No (2)</td> <td>No One arrived after 4pm (3)</td> </tr> </table>	Yes <i>R</i> (1)	No (2)	No One arrived after 4pm (3)	CR			
Yes <i>R</i> (1)	No (2)	No One arrived after 4pm (3)							
CS	Were you able to sufficiently observe all aspects of the voting process?	<table border="1"> <tr> <td>Yes (1)</td> <td>Some restrictions (2)</td> <td>Not at all (3) <i>R</i></td> </tr> </table>	Yes (1)	Some restrictions (2)	Not at all (3) <i>R</i>	CS			
Yes (1)	Some restrictions (2)	Not at all (3) <i>R</i>							

4th Report: CLOSING, COUNTING AND PYITHU HLUTTAW VOTE COUNTS Review questions and report immediately after voting materials are sent from the polling station. if there are more than one parliament seat, Make sure to observe counting of the Pyithu Hluttaw ballots!

DA	Were observers, agents and eyewitnesses allowed to stay in the polling station after closing?	<table border="1"> <tr> <td>Yes (1)</td> <td>No (2) <i>R</i></td> </tr> </table>	Yes (1)	No (2) <i>R</i>	DA						
Yes (1)	No (2) <i>R</i>										
DB	Were advanced votes counted before votes cast in the polling station?	<table border="1"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	DB						
Yes (1)	No (2)										
DF	Could you clearly see the voters' marks on the ballots?	<table border="1"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	DF						
Yes (1)	No (2)										
DG	Were determinations for invalid ballots consistent?	<table border="1"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	DG						
Yes (1)	No (2)										
DH	Which party/candidate agents were present during the counting process? (Tick all that apply)	<table border="1"> <tr> <td>None (1)</td> <td>USDP (2)</td> <td>NLD (3)</td> <td>Other Burman Party (4)</td> </tr> <tr> <td></td> <td>Ethnic Party (5)</td> <td>Independent (6)</td> <td>Other (7)</td> </tr> </table>	None (1)	USDP (2)	NLD (3)	Other Burman Party (4)		Ethnic Party (5)	Independent (6)	Other (7)	DH
None (1)	USDP (2)	NLD (3)	Other Burman Party (4)								
	Ethnic Party (5)	Independent (6)	Other (7)								
DJ	Were all ballots and forms sealed inside tamper evident bags according to procedures?	<table border="1"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	DJ						
Yes (1)	No (2)										
DK	Were the results posted for public viewing (Forms 16)?	<table border="1"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	DK						
Yes (1)	No (2)										
DM	Was there any interference, intimidation or harassment in the counting process?	<table border="1"> <tr> <td>Yes (1) <i>10</i></td> <td>No (2)</td> </tr> </table>	Yes (1) <i>10</i>	No (2)	DM						
Yes (1) <i>10</i>	No (2)										
DN	Which party/candidate agents raised complaints to the PS Officer during the counting process? (Tick all that apply)	<table border="1"> <tr> <td>None (1)</td> <td>USDP (2)</td> <td>NLD (3)</td> <td>Other Burman Party (4)</td> </tr> <tr> <td></td> <td>Ethnic Party (5)</td> <td>Independent (6)</td> <td>Other (7)</td> </tr> </table>	None (1)	USDP (2)	NLD (3)	Other Burman Party (4)		Ethnic Party (5)	Independent (6)	Other (7)	DN
None (1)	USDP (2)	NLD (3)	Other Burman Party (4)								
	Ethnic Party (5)	Independent (6)	Other (7)								

Voter Information - For the answers below, remember to record numbers exactly as written on Form 1 and Form 13.

EA	Number of registered voters in the polling station (Found in form 1 the voter list, final Serial Number)	<table border="1"> <tr> <td></td> <td></td> <td></td> <td></td> </tr> </table>					EA
EB	Number of people who voted in the in-constituency advanced voting (Found in form 13 advanced voter list, final serial number)	<table border="1"> <tr> <td></td> <td></td> <td></td> <td></td> </tr> </table>					EB

Results Information - For the answers below, remember to record numbers exactly as written on Form 16.
If there is more than one race in your township, report information for Pyithu Hluttaw Election

People’s Alliance For Credible Elections

PACE Code:

Township:

Form 16

Serial	Candidate	Party	Votes Cast				Remarks	
			Polling Station	Advanced Voting	Total Cast			
					In numbers	In words		
		USDP					1 - Ballots issued on e-day	
		NLD					2 - Ballots received for advanced voting	
							3 - Valid	
							4 - Invalid	
							5 - Missing	
1+2=3+4+5								
Witnesses								

Election Day Questions:
For the questions below, we may call you at any point on election day to tell you the question and when to report it. We have left you space to write in the question.

ZA

Yes
(1)

No
(2)

ZA

ZB

ZB

We affirm, to the best of our ability, that all of the information recorded on this form is accurate and truthful.

Observer Signature

Observer Name

Confirm Birthday (dd-mm-year)

Observer Signature

Observer Name

Confirm Birthday (dd-mm-year)

2017 By-Elections Observation Report

PACE ID:

PACE Election Day Critical Incident Form – 2017 by-Election

Observer Name/
Observer ID Number:
State/region:
Ward/Village Tract:

Supervisor Name/Number
Supervisor Phone Number
Township:
Polling station UEC number:
Polling Station Location:

If you witness or hear of a critical incident that meets the criteria outlined below immediately complete this form and call the PACE data center.

Documentation

A. Did you witness this incident yourself or did you hear about it from someone else?

- ☐ 1. I witnessed this critical incident myself.
- ☐ 2. I heard about this critical incident from someone else.

B. When did the incident occur (write four digits and circle AM or PM) AM / PM

		:		
--	--	---	--	--

C. Where did the incident occur? _____

Type of Incident

Select one or more critical incident codes below that describe the incident(s).

D. What was the type of incident?

- | | |
|---|--|
| <input type="checkbox"/> 1 Intimidation and/or harassment | <input type="checkbox"/> 6 Important materials missing |
| <input type="checkbox"/> 2 Violence | <input type="checkbox"/> 7 Chaos that affected the process |
| <input type="checkbox"/> 3 Significant delays/Voting suspended | <input type="checkbox"/> 8 Illegal voting on behalf of another person |
| <input type="checkbox"/> 4 Observer prevented from observing | <input type="checkbox"/> 9 Ballot box stuffing |
| <input type="checkbox"/> 5 Polling station did not open | <input type="checkbox"/> 10 Major violations of counting procedures |
| | <input type="checkbox"/> 11 Other |
- _____

Description of Perpetrators and Victims

Answer the following questions to describe the perpetrators and victims of the critical incident(s) selected above.

E. What was the gender of the person(s) who caused the incident (check all that apply if multiple people):

- ☐ 1. Male ☐ 2. Female ☐ 3. Don't know

F. What was the ethnicity of the person(s) who caused the incident (*check all that apply if multiple people*):

- ☐ 1. Bamar ☐ 2. Other Ethnicity ☐ 3. Don't know

G. Affiliation of perpetrator(s): (*check all that apply if multiple people*):

- ☐ 1. Election official ☐ 2. Security force ☐ 3. Local authority
☐ 4. Religious leader ☐ 5. Party member/agent (party: _____) ☐ 6. Other : _____
☐ 7. Don't know

H. What was the gender of the victim(s):(*check all that apply if multiple people*):

- ☐ 1. Male ☐ 2. Female ☐ 3. Don't know

J. What was the ethnicity of the victim (s) (*check all that apply if multiple people*):

- ☐ 1. Barman ☐ 2. Other ethnicity ☐ 3. Don't know

K. Affiliation of victim(s):

- ☐ 1. Election official ☐ 2. Voter ☐ 3. Religious leader
☐ 4. Party member/agent (party: _____) ☐ 5. Other : _____ ☐ 6. Don't know

Description of Incident

Please provide a brief description of the facts regarding each incident, including **who** participated (their position and affiliation), **what** happened, **how** did it happen, and the sequence of events. Indicate other individuals, such as other observers or party agents, who also witnessed the incident (and their contact information if possible). Use other side of the form if necessary.

M.

Observer Name

Signature

Date

Appendix-7

PACE Tabulation Form: April 1st – April 2017 Elections

PACE Code:

Observer Name:

Number:

State/region:

Township:

Election Day (April 1) Go to your assigned township sub-commission office no later than 3:30pm and remain until 10pm. Review questions throughout the evening and answer at the end of the day. Report your form by phone between 7am and 9am the next morning.

AC	Were you permitted to observe inside the tabulation center? (If NO, complete an incident report and report immediately)	<table border="1" style="display: inline-table;"> <tr> <td>Yes (1)</td> <td>No (2) <i>R</i></td> </tr> </table>	Yes (1)	No (2) <i>R</i>	AC						
Yes (1)	No (2) <i>R</i>										
AD	Which party or candidate agents were inside the tabulation center? (Tick all that apply)	<table border="1" style="display: inline-table;"> <tr> <td>None (1)</td> <td>USDP (2)</td> <td>NLD (3)</td> <td>Other Burman Party (4)</td> </tr> <tr> <td colspan="2">Ethnic Party (5)</td> <td>Independent (6)</td> <td>Other (7)</td> </tr> </table>	None (1)	USDP (2)	NLD (3)	Other Burman Party (4)	Ethnic Party (5)		Independent (6)	Other (7)	AD
None (1)	USDP (2)	NLD (3)	Other Burman Party (4)								
Ethnic Party (5)		Independent (6)	Other (7)								
AE	Were any advance votes accepted after 4pm?	<table border="1" style="display: inline-table;"> <tr> <td>Yes (1) <i>R</i></td> <td>No (2)</td> </tr> </table>	Yes (1) <i>R</i>	No (2)	AE						
Yes (1) <i>R</i>	No (2)										
AF	Were you able to see the marks on the ballots during the counting of advance votes?	<table border="1" style="display: inline-table;"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	AF						
Yes (1)	No (2)										
AG	Were all sensitive materials (like ballots, results forms) stored securely?	<table border="1" style="display: inline-table;"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	AG						
Yes (1)	No (2)										
AH	Was there any interference, intimidation or harassment in the tabulation process?	<table border="1" style="display: inline-table;"> <tr> <td>Yes (1) <i>R</i></td> <td>No (2)</td> </tr> </table>	Yes (1) <i>R</i>	No (2)	AH						
Yes (1) <i>R</i>	No (2)										
AJ	Which party/candidate agents raised complaints to the officials during the tabulation? (Tick all that apply)	<table border="1" style="display: inline-table;"> <tr> <td>None (1)</td> <td>USDP (2)</td> <td>NLD (3)</td> <td>Other Burman Party (4)</td> </tr> <tr> <td colspan="2">Ethnic Party (5)</td> <td>Independent (6)</td> <td>Other (7)</td> </tr> </table>	None (1)	USDP (2)	NLD (3)	Other Burman Party (4)	Ethnic Party (5)		Independent (6)	Other (7)	AJ
None (1)	USDP (2)	NLD (3)	Other Burman Party (4)								
Ethnic Party (5)		Independent (6)	Other (7)								
AK	Did the tabulation process finish on election day (April 1)? [If yes, fill out the results form If no, come back tomorrow!]	<table border="1" style="display: inline-table;"> <tr> <td>Yes (1)</td> <td>No (2)</td> </tr> </table>	Yes (1)	No (2)	AK						
Yes (1)	No (2)										

2017 By-Elections Observation Report

PACE Tabulation Form: April 2nd

PACE Code:

Observer Name:

Number:

State/region:

Township:

Post Election (April 2) Review questions throughout the day and answer at the end of the day . Report your form by phone between 4pm and 6pm in the evening.

BD	Were you permitted to observe inside the tabulation center? (If NO, complete an incident report and report immediately)	<table border="1"><tr><td>Yes (1)</td><td>No (2) <i>B</i></td></tr></table>	Yes (1)	No (2) <i>B</i>	BD						
Yes (1)	No (2) <i>B</i>										
BE	Which party or candidate agents were inside the tabulation center? (Tick all that apply)	<table border="1"><tr><td>None (1)</td><td>USDP (2)</td><td>NLD (3)</td><td>Other Burman Party (4)</td></tr><tr><td></td><td>Ethnic Party (5)</td><td>Independent (6)</td><td>Other (7)</td></tr></table>	None (1)	USDP (2)	NLD (3)	Other Burman Party (4)		Ethnic Party (5)	Independent (6)	Other (7)	BE
None (1)	USDP (2)	NLD (3)	Other Burman Party (4)								
	Ethnic Party (5)	Independent (6)	Other (7)								
BF	Were sensitive materials (like ballots, results forms) stored securely?	<table border="1"><tr><td>Yes (1)</td><td>No (2)</td></tr></table>	Yes (1)	No (2)	BF						
Yes (1)	No (2)										
BG	Was there any interference, intimidation or harassment in the tabulation process?	<table border="1"><tr><td>Yes (1) <i>B</i></td><td>No (2)</td></tr></table>	Yes (1) <i>B</i>	No (2)	BG						
Yes (1) <i>B</i>	No (2)										
BH	Which party/candidate agents raised complaints to the officials during the tabulation? (Tick all that apply)	<table border="1"><tr><td>None (1)</td><td>USDP (2)</td><td>NLD (3)</td><td>Other Burman Party (4)</td></tr><tr><td></td><td>Ethnic Party (5)</td><td>Independent (6)</td><td>Other (7)</td></tr></table>	None (1)	USDP (2)	NLD (3)	Other Burman Party (4)		Ethnic Party (5)	Independent (6)	Other (7)	BH
None (1)	USDP (2)	NLD (3)	Other Burman Party (4)								
	Ethnic Party (5)	Independent (6)	Other (7)								
BJ	Did the tabulation process finish the day after election day (April 2)? [If yes, fill out the results form If no, call the PACE office]	<table border="1"><tr><td>Yes (1)</td><td>No (2)</td></tr></table>	Yes (1)	No (2)	BJ						
Yes (1)	No (2)										

People's Alliance For Credible Elections

PACE Tabulation Form: Results

PACE Code:

Observer Name:

Number:

State/region:

Township:

Results Information - For the answers below, remember to record numbers exactly as written on Form 18.

If there is more than one race in your township, report information for Pyithu Hluttaw Election

CA	Did you witness the officials recording the results from Form 16 and Form 18 into Form 19?	<div>Yes (1)</div>	<div>No (2)</div>	CA
CE	Did the officials make any changes to any numbers to Form 16 before recording into Form 19?	<div>No changes (1)</div>	<div>Yes, correcting small mathematical errors (2)</div>	CE
		<div>Yes, significant changes (3) <i>R</i></div>	<div>Did not observe/don't know (4)</div>	
CF	Were the results of the township (Form 19) posted for public viewing?	<div>Yes (1)</div>	<div>No (2)</div>	CF

Form 18

Candidate	Party	Votes Cast		Remarks	
		In numbers	In words		
	USDP			1 - Ballots issued	
	NLD			2 - Ballots received	
				3 - Valid ballots	
				4 - Invalid ballots	
				5 - Missing ballots 1=2+5 2=3+4	
Witnesses					

Out of Constituency Voting Institutions - In the space below, list the institutions that submitted out of constituency ballots as they appear on Form 18-1.

DO NOT REPORT THIS INFORMATION OVER THE PHONE.

1.	6.
2.	7.
3.	8.
4.	9.
5.	10.

I affirm, to the best of my ability, that all of the information recorded on this form is accurate and truthful.

Observer Signature

Observer Name

Confirm Birthday (dd-mm-year)

2017 By-Elections Observation Report

PACE Tabulation Critical Incident Form

Observer PACE ID		State/Region	
Observer Name		Township	

If you witness or hear of a critical incident that meets the criteria outlined below immediately complete this form and call the PACE data center.

Documentation

A. Did you witness this incident yourself or did you hear about it from someone else?

- ☐ 1. I witnessed this critical incident myself.
- ☐ 2. I heard about this critical incident from someone else.

B. When did the incident occur (write four digits and circle AM or PM) AM / PM

		:		
--	--	---	--	--

C. Where did the incident occur? _____

Type of Incident

Select one or more critical incident codes below that describe the incident(s).

D. What was the type of incident?

- | | |
|---|--|
| <input type="checkbox"/> 1 Intimidation and/or harassment | <input type="checkbox"/> 6 Sensitive Materials not secured |
| <input type="checkbox"/> 2 Violence | <input type="checkbox"/> 7 Chaos that affected the process |
| <input type="checkbox"/> 3 Significant delays/Tabulation suspended | <input type="checkbox"/> 8 Advanced Votes accepted after 4pm |
| <input type="checkbox"/> 4 Observer prevented from observing | <input type="checkbox"/> 9 Significant Changes to Results Forms (Form 16) |
| <input type="checkbox"/> 5 Tabulation Center did not open | <input type="checkbox"/> 10 Major violations of tabulation procedures |
| | <input type="checkbox"/> 11 Other |

Description of Perpetrators and Victims

Answer the following questions to describe the perpetrators and victims of the critical incident(s) selected above.

E. What was the gender of the person(s) who caused the incident (*check all that apply if multiple people*):

- ☐ 1. Male ☐ 2. Female ☐ 3. Don't know

F. What was the ethnicity of the person(s) who caused the incident (*check all that apply if multiple people*):

- ☐ 1. Bamar ☐ 2. Other ethnicity ☐ 3. Don't know

G. Affiliation of perpetrator(s):(*check all that apply if multiple people*):

- ☐ 1. Election official ☐ 2. Security force ☐ 3. Local authority
☐ 4. Religious leader ☐ 5. Party member/agent (party: _____)
☐ 6. Other : _____ ☐ 7. Don't know

H. What was the gender of the victim(s):(*check all that apply if multiple people*):

- ☐ 1. Male ☐ 2. Female ☐ 3. Don't know

J. What was the ethnicity of the victim (s) (*check all that apply if multiple people*):

- ☐ 1. Bamar ☐ 2. Other ethnicity ☐ 3. Don't know

K. Affiliation of victim(s):(*check all that apply if multiple people*):

- ☐ 1. Election official ☐ 2. Voter ☐ 3. Religious leader
☐ 4. Party member/agent (party: _____) ☐ 5. Other : _____ ☐ 6. Don't know

Description of Incident

Please provide a brief description of the facts regarding each incident, including **who** participated (their position and affiliation), **what** happened, **how** did it happen, and the sequence of events. Indicate other individuals, such as other observers or party agents, who also witnessed the incident (and their contact information if possible). Use other side of the form if necessary.

M.

Observer Name

Signature

Date